

# Regulatory Frameworks

From the perspective of the AU  
Guidelines



# What are regulatory frameworks?

***A system of “...legislative, administrative or policy measures....”***



# What do the AU Guidelines say..

- Put in place institutional arrangements to regulate ABS
- Establishment of procedures for PIC and MAT
- Put in place rules on access to GR or aTK for utilization
  - ....including special consideration for specific types of access (GRFA; health; R&D contributing to sustainable use and biodiversity conservation)



# What do the AU Guidelines say..

- Put in place provisions on sharing benefits arising from utilization of GRs and aTK
- Compliance:-
  - ...with domestic ABS measures on GR and aTK
  - ...to address situations of non-compliance
  - ...with MAT
- Put in place measures to monitor utilization of GRs (and aTK)



# ABOVE ALL!

**The measures should be ADAPTIVE  
and RESPONSIVE to accommodate  
the evolving nature of ABS**





# Processes to establish ABS regulatory frameworks

Please take 20 minutes to exchange experiences from your countries, discussing questions such as

- To what extent was/ is existing legislation/ regulations being amended in your countries, or to what extent was/ is new ABS legislation/ regulations required?
- What challenges/ opportunities did you encounter along the process of establishing ABS regulatory frameworks?
- How did you deal with creating and sustaining the necessary political will?
- ...