Protection of Traditional Knowledge (TK): Intellectual Property (IP) Considerations

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Key issues

- What has this to do with intellectual property (IP)?
- Does IP offer the right incentives to meet the needs of TK holders?
- What do conventional IP systems say about TK?
- Which options are there for recognizing TK as IP and protecting it?

What is IP?- Some key features

- "Intellectual property" –creations and innovations of the human mind
- Intellectual property "protection" –provides creators and innovators with possibility to regulate use of their works if they so wish
- IP: **proprietary** (eg., exclusive rights, right to prevent others from using)
- IP rights do not provide perfect control: limitations and exceptions/the public domain

Traditional Knowledge- some features

- No agreed international definition: Traditional knowledge (TK) is knowledge, know-how, skills and practices that are developed, sustained and passed on from generation to generation within a community, often forming part of its cultural or spiritual identity.
- TK can be found in a wide variety of contexts, including: agricultural, scientific, technical, ecological and medicinal knowledge as well as biodiversityrelated knowledge.

TK and IP: What is the relationship?

- Innovations based on TK may benefit from IP protection (<u>patent</u>, <u>trademark</u>, and <u>geographical</u> <u>indication</u> protection) or be protected as a trade secret or confidential information.
- HOWEVER: traditional knowledge as such knowledge that has ancient roots and is often
 oral can often not be protected by
 conventional intellectual property systems.
- IP "protection" is not necessary equivalent to "preservation/safeguarding"

TK and IP: protection options?

- Policy issues concerning TK are broad and diverse, the IP issues break down into two key themes:
 - Positive protection: Preventing unauthorized use, and active exploitation of TK by the originating community itself.
 - 2. **Defensive protection:** set of strategies to ensure that third parties do not gain illegitimate or unfounded IP rights over TK

Positive protection

- Positive Protection: Means to enable holders, if they so wish, to acquire and assert IP rights in their TK
- This can allow TK holders to prevent unwanted, unauthorized or inappropriate uses by third parties (including culturally offensive or demeaning use) and/or to exploit TK

Defensive Protection

- Use of registries databases: A defensive disclosure, by describing information in a printed publication or other publicly accessible medium, helps to establish prior art capable of preventing patents.
- India's Traditional Knowledge Digital Library (TKDL): searchable database of traditional medicine that can be used as evidence of prior art by patent examiners when assessing patent applications.

TKDL











Traditional Knowledge Digital Library

Representative Database of 1200 Ayurvedic, Unani and Siddha Formulations More...

Access to 2.50 Lakh (0.250 million) Medicinal Formulations is available to Patent Offices only under TKDL Access Agreement

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Defensive Protection

- Prior art: consists of everything which has been made available to the public anywhere through written disclosure (including drawings and other illustrations)
- For example, if TK has been published in a journal before the applicable date of a patent application, it is part of the relevant prior art, and the application cannot validly claim that TK as an invention – the invention would not be considered novel.

Documentation of TK: useful tool

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Documentation Toolkit

Practical guidance on how to undertake a TK documentation exercise

Download

http://www.wipo.int/tk/en/resources/tkdocumentation.html

Documentation of TK

- A crucial step in the documentation process is the recording, or 'fixation', of the TK in a material form or when TK is transferred from one medium to another-This when IP comes into plays
- Many initiatives underway
- Caution: documentation makes traditional knowledge more widely available to the general public, especially if it can be accessed on the Internet
- Could potentially lead to misappropriation and use in ways that were not anticipated or intended by traditional knowledge holders.

Documentation of TK

- Documentation can help protect traditional knowledge, I.e, by providing a confidential or secret record of TK reserved for the relevant community only.
- Some formal documentation and registries of TK support sui generis protection systems
- Importance of ensuring that documentation of TK is anchored in good intellectual property strategy

Documentation of TK

- The inclusion of TK related information in a database does not in itself create or recognize any specific right over such information
- Expect to the extent that this is specifically set out in national law (including through recognition of Customary Law) or is part of contract

THANK YOU FOR YOUR ATTENTION!