

# Biodiversity in the Patent System: South Africa

A country study of genetic resources and traditional knowledge in the patent system of relevance to South Africa

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#### Introduction

This report presents the results of analysis of patent activity for genetic resources and traditional knowledge from South Africa. The report is divided into three sections:

Section 1 provides an overview of biodiversity in South Africa based on information from the Global Biodiversity Information Facility and introduces the patent data.

Section 2 provides a general overview of patent activity for species known to occur in South Africa in the period 1976-2010. This is followed by detailed analysis of patent documents that make reference to South Africa and data based on species that are limited to distribution in South Africa.

Section 3 provides a set of short summaries for species that are a focus of patent activity. This information will also be made available online for further research through the Access and Benefit Sharing Patent Index (ABSPAT).<sup>1</sup>

The report was prepared using large scale text mining of patent data for species names and country names. This data was then combined with taxonomic information from the Global Biodiversity Information Facility. Additional patent research was conducted using the commercial Thomson Innovation database and processed using a variety of software tools.

Patents are an important indicator of investments in research and development directed to the development of commercial products. The aim of the report is to identify potential opportunities for economic development in support of conservation by identifying existing research and development involving species from South Africa. The research did not investigate the terms and conditions under which patent applicants obtained the genetic resources and traditional knowledge disclosed in the patent document. Therefore the report does not consider the problem of biopiracy or misappropriation of genetic resources and traditional knowledge.

The research was limited to searches of patent data from the United States, the European Patent Office and the international Patent Cooperation Treaty in the period 1976-2010. As such, the research is limited to the major patent offices for this period. We do not consider patent activity prior to 1976 or after 2010 except through patent family information and citation data. As such the report provides a baseline for patent activity involving species from South Africa as a basis for further research.

Our research focused primarily on documents that make reference to South Africa and to cases where existing distribution data suggests South Africa is a likely source for the species. This imposes two limitations on the research. First, we focus on identifying species that are a focus of existing research and development. However, the report does not seek to provide the complete global patent landscape for an individual species. Second, because we focused on identifying species from a country we did not search patent data for references to regions (i.e. Africa) or sub-regions (i.e. Southern Africa) in the patent data. To address this issue we deliberately highlight cases where a species is distributed in more than one African country.

<sup>&</sup>lt;sup>1</sup> ABSPAT is available at <a href="http://www.abspat.net">http://www.abspat.net</a>

This report is one in a series of reports on patent activity for species from African countries. The following observations are based on the research for the six African country reports to date and form the main recommendations arising from the research.

#### **Taxonomic Research:**

- 1. There is a need to improve the availability of taxonomic information for each country. In the absence of taxonomic information it is not possible to identify genetic resources that are relevant to a particular country in patent data and any relevant opportunities for economic development. African countries could consider giving greater priority to taxonomic research and making taxonomic information available through GBIF;
- 2. Georeferencing of the coordinates for the locations of species is an important standard in modern biodiversity research. Georeference data can be used to identify where species have been recorded in a country and also where biodiversity research has been concentrated. In our view georeferencing is an underutilized tool for identifying where species are located as a basis for engaging with indigenous and local communities to consider potential development opportunities. We recommend greater attention to georeferencing and its use for engagement with relevant indigenous and local communities;
- 3. Taxonomic research does not attract investment because it appears to be remote from economic considerations. In practice taxonomic information is vital to identifying opportunities for development that is supportive of the objectives of the Convention on Biological Diversity and its Nagoya Protocol.
- 4. Taxonomic information is also important for the capacity of countries to monitor compliance with the Nagoya Protocol by improving baseline data on the species within a country. Advancing knowledge and understanding of biodiversity and the traditional knowledge of indigenous and local communities has an important role to play in long term monitoring under the Nagoya Protocol.

#### The Patent System:

- 1. Patent documents are frequently unclear on the precise origin or source of genetic resources and associated traditional knowledge. In addition very limited information is available on the terms and conditions of acquisition of genetic resources and traditional knowledge. This could be improved through enhanced disclosure of origin measures as advanced by the African Group and discussed in greater detail elsewhere;<sup>2</sup>
- 2. Species are commonly distributed in more than one country. It is important that African countries include requirements in access and benefit sharing agreements to clearly specify the source of genetic resources and associated traditional knowledge in any patent applications that may arise under the terms of an agreement. When combined with the enhanced disclosure measures noted above this would greatly improve capacity to monitor patent activity under the terms of the Nagoya Protocol;
- 3. One of the major issues that emerged in the research is the problem of essential incorporation of species into patent claims. Patent applicants frequently list very large numbers of species, or make reference to genera and families, with the purpose of incorporating all members of a genus or family into the scope of the patent claims. Typically these applications did not involve collection or use of many of the species that are listed. The aim of essential incorporation is to prevent others from using compounds, extracts or ingredients from these species in similar inventions or products. Where granted these patents are likely to have negative consequences for researchers

<sup>&</sup>lt;sup>2</sup> Oldham, P & Burton G (2010) Defusing Disclosure in Patent Applications. UNEP/CBD/COP/10/INF/44

and producers in African countries seeking to develop and export similar products from these species. In our view, patent claims for components of organisms should be limited to the species from which the compound or extract was isolated by the applicants and not extend to members of the genus or entire families. Furthermore, in our view essential incorporation is anticompetitive and action should be considered to stop or severely restrict this practice.

4. In some cases patent activity may involve species that are vulnerable, endangered or CITES listed. In considering the possibilities for economic development identified in patent data it is also important to identify and assess the conservation status of the species concerned in order to support the objectives of the Convention on Biological Diversity.

Patents have frequently been viewed with suspicion within the biodiversity policy community as examples of the inequitable exploitation of resources from biodiversity rich developing countries. Our research demonstrates that patent data can also be turned to positive purposes to identify potential opportunities for economic development in Africa. We hope that this information will prove to be useful to African countries.

# **South Africa**

#### Area:

1,219,090 sq. km

#### Coastline:

2,798 km

#### Climate:

Mostly semi-arid; subtropical along east coast; sunny days, cool nights.

#### Geography:

South Africa features a landscape dominated by a high plateau in the interior, surrounded by a narrow strip of

coastal lowlands. The interior plateau consists of a series of rolling grasslands and rises abruptly to form a series of mountain ranges before dropping to sea level. In the north is a dry savanna subregion, known as the Bushveld. West of the Bushveld is the southern basin of the Kalahari Desert, which borders Namibia and Botswana.

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BOTSWANA

NAMIBIA

# **Biodiversity in South Africa and Patent Activity:**

Data for biological diversity for South Africa was obtained from the Global Biodiversity Information Facility (GBIF). GBIF provides open access to the most comprehensive data on species for a particular country that is presently available. All data is submitted by participating collections who share biodiversity information.

Using this resource we have obtained biodiversity records for species which occur in South Africa. It should be noted that the usefulness of this data in determining the actual distribution of a given species depends on the comprehensiveness of the data submitted by GBIF participants. Therefore we would stress that the absence of records should not be interpreted as indicating an absence of a given species, and similarly that a recorded species that only appears from one country should not be regarded as evidence of endemism. All reasonable efforts in identifying endemic species were made from alternative sources during the compilation of this report.

GBIF presently records 59,092 species names for South Africa. Of these 49,702 are accepted scientific names with the remainder made up of synonyms, homonyms or names that are not presently scientifically accepted. In addition, GBIF contains 10,306,146 georeferenced coordinates for species from South Africa. Accurate georeferencing of species collection records is an important standard in biodiversity related research. South Africa stands out for the number of georeferenced records for its species.

We identified a total of 275,517 documents containing species known to be distributed in South Africa. Of these 1,332 made some form of reference to South Africa. These documents were manually reviewed in MaxQDA software to identify documents specifying a source or origin in South Africa.

The 1,332 documents that made a specific reference to South Africa contained 6,415 species. As this suggests, many patent documents make reference to more than one species. The challenge therefore is to identify those species that originate from South Africa. These documents were manually reviewed in MaxQDA data analysis software. Through this process we were able to identify species where it was definitively stated that they had been collected, sampled or otherwise obtained from South Africa.

In addition, using GBIF distribution data we identified 325 species where GBIF presently records distribution only in South Africa. These species appeared in 2,648 patent documents where South Africa was not explicitly mentioned. The idea behind this was to identify cases where a species (based on available distribution data) was likely to have come from South Africa and thus be regarded as a species of likely or potential significance for South Africa. For the sake of simplicity we call this data 'Distribution'. These documents were then selected for further review.

# **Biodiversity and Distribution**

Much of the data submitted to GBIF includes geographical coordinates indicating where the recorded species was located. Using this data we are able to show the physical distribution across South Africa of all GBIF recorded species. Plate 1 shows two maps: The upper map shows plotted points, each indicating a GBIF record. The points are coloured to indicated the taxonomic kingdom of the species to which the record refers. It should be noted that this geographical information is raw data as submitted to GBIF by participating recorders. It has not been cleaned to remove any human errors when inputting to the GBIF database (an example of such an error might be where a longitudinal coordinate has been recorded as a + rather than a -). The lower map shows major settlements and roads, it also includes the location of some protected areas such as national parks and nature reserves - places expected to be of significance for biodiversity. A larger version of the distribution map can be found in the appendix of this country summary.

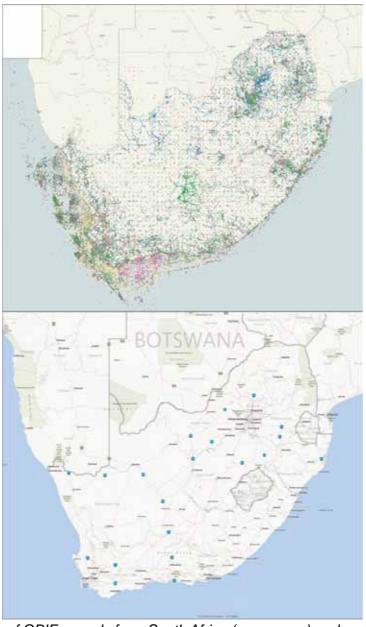
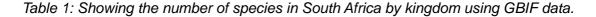
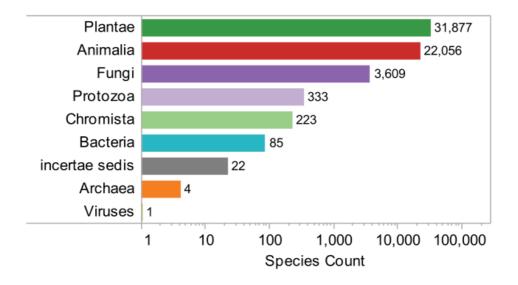


Plate1. Distribution of GBIF records from South Africa (upper map) and major settlements and roads (lower map) (map courtesy of Bing Maps). Each colour point represents a taxonomic kingdom for a given record.

It is very interesting to compare the two maps. There are a very large number of records for South Africa and this is likely to reflect the level of economic development when compared to other African countries. The data is distributed well across the country with very high densities of records clustered about the major areas of population density such as Cape Town, Durban and Pretoria; places where there will be industry and research establishments. Also the coastal lowlands appear well surveyed. Another feature of these mapped distribution records are the strings of data points which cross the country. When compared with the lower map it can be seen that these strings of data points closely follow the routes of major roads. Lesotho and Swaziland are surrounded by South Africa and likely to contain the same species as recorded around their borders. There are many records of marine species. The Cape is renowned for its biodiversity due to the convergence of major oceanic currents. Fisheries, though small in terms of national GDP are important for regional economies. They exist around the entire coastline and particularly off the Western Cape and this may explain the very large number of records from this ocean area.

GBIF presently records 59,092 names for species known to be present in South Africa. This list is dominated by plants and animals which account for 53,933, as can be seen in Table 1. Other kingdoms are well represented, and this, perhaps, illustrates a very high level of recording and collection.





Using global data it is possible to examine the wider distribution of South African species. Plate 2 shows where records exist across the globe for such species. Species which are found in two or more countries are referred to as being 'cosmopolitan'. Each pie represents the number of occurrences of cosmopolitan species which are found in South Africa and is segmented by kingdom. It can be seen that South Africa appears to have many species which are endemic; the number of cosmopolitan species appears to be very small with only sub-Saharan and east Africa sharing significant numbers. This may be due to either the unique climate and habitats of South Africa or to the more complete catalogue of species recorded when compared to the relative paucity of records from other African countries. The number of species found beyond the African continent is very small indeed and it should additionally be noted that some of these records may originate from research institutions or collections and therefore do not represent native or naturalised distribution.



Plate 2: Global distribution of South African species shown by the number of occurrences in GBIF.

# **Biodiversity in South Africa in the Patent System**

As of 2013 a total of 11,283 documents in the main patent jurisdictions (European Patent Office, the United States, and the Patent Cooperation Treaty) specifically mention South Africa. This provides a general overview of references to South Africa in the patent system across all areas of invention. Only a proportion of these documents will also refer to species collected in, or sourced from, South Africa. In addition, patent applicants will make reference to species that originate from South Africa but will not mention South Africa as the source of genetic resources or traditional knowledge.

Our aim in this section is to provide a brief overview of patent activity for genetic resources of relevance to South Africa. We focus on patent activity in the main patent jurisdictions in the period between 1976 and 2010. We then examine the results of research to identify genetic resources and traditional knowledge that originate from South Africa. In approaching patent activity for genetic resources from South Africa we focus on three categories of data.

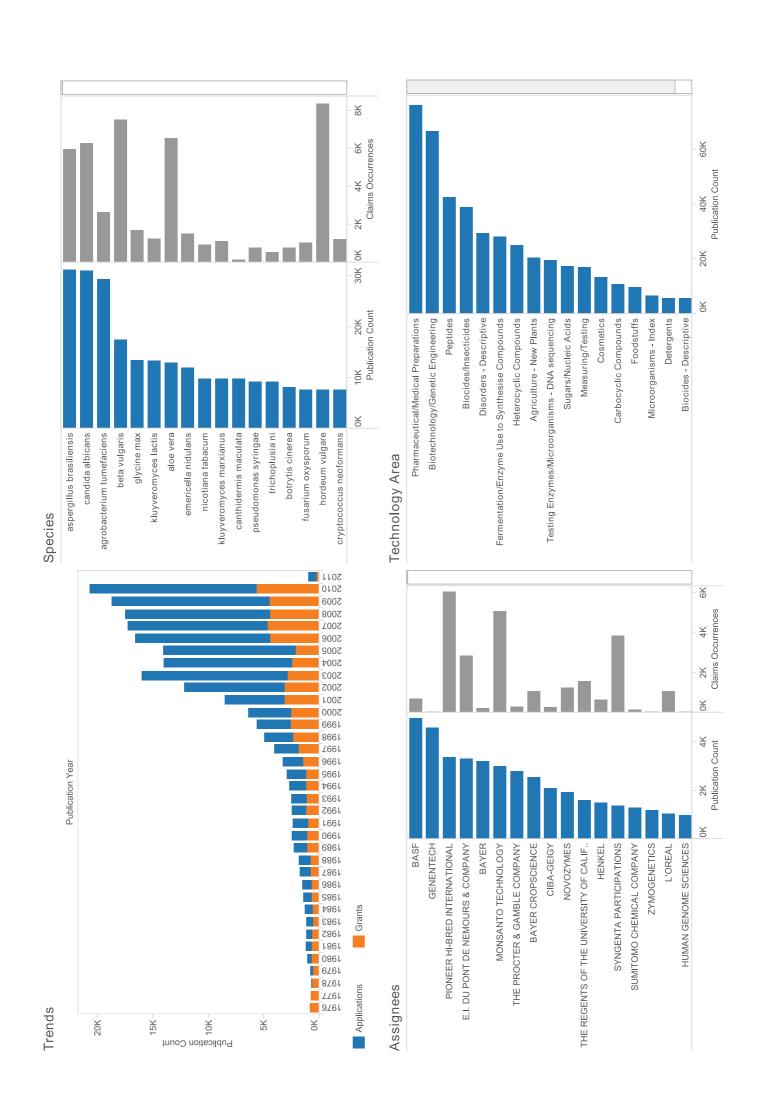
- 1. Species that are known to be distributed in South Africa but are also distributed elsewhere in the world. This provides an overview of global patent activity for genetic resources of relevance to South Africa.
- 2. Species where a direct reference is made to the collection or origin of a species from South Africa. This data is based on a review of patents that make reference to a species known to be distributed in the country and the country name.
- 3. Species where available distribution data suggests that a sample is likely to have originated from South Africa. This data is known as Distribution data and refers to cases where GBIF presently only records a species as occurring in South Africa and no other country. Because taxonomic information is incomplete, this data provides a clue rather than proof that a species originated from South Africa.

We begin our analysis with an overview of biodiversity that is known to occur in South Africa in the patent system and then turn to data on species originating from South Africa.

South Africa shares a significant proportion of its known biodiversity with other countries in Africa and around the world. Plate 3 provides an overview of patent activity for species that are known to occur in South Africa and other countries around the world. This overview provides information on trends in applications and grants, the top species appearing in patents that are known to occur in South Africa, top applicants or assignees and technology areas.

In total we identified approximately 6,415 species names in patent data from the major jurisdictions that are known to occur in South Africa. When model organisms including crops such as *Zea mays* (maize) and *Homo sapiens* are excluded this falls to 6,282 species names of which approximately 4,617 are accepted scientific names.<sup>1</sup> This data is relevant for South Africa because it demonstrates that researchers and companies are conducting research and development on species that are known to occur in South Africa. As Plate 3 makes clear research and development is taking place across a range of technology sectors and is targeted to a variety of markets.

<sup>&</sup>lt;sup>1</sup> The 6,282 figure excludes common model organisms such as *E. coli*, *Arabidopsis thaliana*, *Bacillus subtilis* and *Zea mays* (maize) that are globally distributed and are used as research tools in biotechnology. These species appear prominently in patent data for all almost countries and are therefore excluded.



The top species of relevance to South Africa in global patent data include species used in biotechnology such as *Aspergillus brasiliensis* (formerly *Aspergillus niger*) and *Emericella nidulans* (*Aspergillus nidulans*). In total we identified 2,656 plant names in global data of relevance to South Africa with crops represented by species and varieties of beet (*Beta vulgaris*), soya (*Glycine max*), barley (*Hordeum vulgare*) and tobacco (*Nicotiana tabacum*). *Aloe vera* (formerly *Aloe barbadensis* or *Aloe petricola*) features; this and other aloes are used extensively for their pharmaceutical and cosmetic potential. Patent data for plants of relevance to South Africa also includes frequent references to hoodia species and the Bushwillow tree (*Combretum caffrum*) (not shown). Other species include several microorganisms such the plant pathogen *Pseudomonas syringae* and species of Kluyveromyces which are used in genomic studies or for their ability to produce lactase enzymes.

The assignees in the overall data for species of relevance to South Africa range across a spectrum from biotechnology (i.e. Genentech), companies such as BASF and Bayer in areas such as biocides/insecticides, agriculture (i.e. Du Pont) and personal and household products such as Proctor and Gamble. More detailed analysis of technology areas revealed biopharmaceutical companies such as Oxigene Inc. which specialises in anti cancer treatments. The Morinaga Milk Industry Co. is conducting research and development of supplements which improve pancreatic functions and offer other health benefits. As this makes clear there are a wide range of general and specialised technology areas and markets of relevance to biodiversity from South Africa. To gain a more focused view of activity we now turn to the results of research to identify organisms appearing in patents that were directly collected in South Africa or where distribution data suggests that South Africa is the likely source.

# **Species from South Africa in Patent Data:**

In total we identified 110 species of organisms that were directly sourced from, or potentially originate from, South Africa based on distribution data. An additional 44 species were retained as being of relevance to South Africa for a variety of reasons but are excluded from the statistics. Plate 4 displays the top species for South Africa from 37 selected species based on a manual review of patent documents. In the next section a summary is provided for these species. Species of relevance to South Africa for other reasons appear at the end of the summary under "Other Species". This data will also be made available online to allow for further exploration of each case.

Plate 4 reveals that based on detailed analysis of patent documents, certain species move to the fore in the data compared with the global overview provided in Plate 3. It is notable that endemic plants are particularly prominent in this list. The top species is *Combretum caffrum*, commonly known as the Bushwillow tree. This tree is the source of combretastatin which is taken from the bark and is used to restrict the flow of blood to tumors. Work has been carried out by Arizona State University on improving the solubility of combretastatin A-4 through the development of prodrugs and trans-isomers (e.g.: US701897B1) and the biopharmaceutical company Oxigene in association with Baylor University has continued research and development in the application of these compounds in cancer treatment (e.g.: US20030149003A1). Oxigene has a combretastatin vascular disrupting agent product candidate in development under the name ZYBRESTAT focusing on thyroid cancer. Combretastatin is also now known as Fosbretabulin.

Aloe africana is one of a number of aloe species which feature in the species list. Aloe is a widely used plant for a variety of technologies including for cosmetic and skin care and pharmaceutical purposes. Morinaga Milk Industry Co Ltd has researched into a number of

300 2010 5000 2008 200 Publications 2002 2008 100 2002 2004 2002 2001 Compounds Other than Carbon, Hydrogen, Halogen, Oxygen, Nitrogen etc. 2000 666 L 8661 **US Plant Patents** Z661 9661 966L ⊅66l Fermentation/Enzyme Use to Synthesise Compounds Testing Enzymes/Microorganisms - DNA sequencing 1992 1661 ا 660 6861 Pharmaceutical/Medical Preparations 1988 Biotechnology/Genetic Engineering Grants 7861 986 L 986L Technology Areas Heterocyclic Compounds Carbocyclic Compounds Agriculture - New Plants Disorders - Descriptive 1983 Sugars/Nucleic Acids Biocides/Insecticides 1861 0861 Applications 8761 Foodstuffs Cosmetics 9261 Trends Peptides Steroids 20 10 0 09 20 40 30 20 Num Records 100 50 100 Publications Distribution Data Type Origin Origin Origin Origin Origin Origin Origin Origin Cosmopolitan Distribution Uncertain Uncertain Uncertain Uncertain Uncertain Uncertain Uncertain Uncertain Uncertain Endemic Kingdom plantae plantae bacteria plantae animalia plantae plantae plantae plantae bacteria plantae virales plantae plantae plantae plantae plantae plantae plantae plantae fungi fungi fungi fungi fungi fungi HIV Subtype C South African.. virales Harpagophytum procumbens Cryptococcus amylolentus Kluyveromyces delphensis Ornithogalum multifolium Aloe petricola (Aloe vera) Siphonochilus natalensis Funnaniaillium alufaceum Cephalodiscus gilchristi Nudaurelia omega virus Crocosmia masonorum Hansenula philodendra Myxozyma vanderwaltii Zantedeschia sprengeri Sorangium cellulosum Plectranthus hilliardiae Zygozyma oligophaga Sclerochiton illcifolius Scabiosa anthemifolia Spiloxene schlechteri Lobostemon trigonus Sceletium tortuosum Cryptocarya Latifolia Sceletium expansum Priestleya tomentosa Combretum caffrum Aspalathus linearis Bacterium xylinum Ogataea kodamae Cyclopia species Umtiza listerania Hoodia gordonii Callitris arborea Hypoxis latifolia Hoodia species Protea pulchra Aloe africana Species

2011

uses for active compounds extracted from Aloe africana including for the treatment of diseases resulting from reduced pancreatic functions (US7531520B2) and for the treatment of hyperglycemia and its complications (US7754704B2). Another use of aloe is demonstrated by Proctor and Gamble Co in WO2001062265A1 in which they claim for an orally administered composition for the rehydration of mammalian skin. The species *Aloe vera* (formerly *Aloe petricola*) is a related species which has been very widely used in cosmetic products, Henkel & Co AG KAA uses a preparation made from *A. vera* as a hair dye (WO2006125619A1).

Cyclopia is the genus of leguminous plants better known as 'Honeybush'. This plant and extracts from it have a number of uses. The plant is taken as a traditional infusion, and the use of extracts from it are used as food supplements providing vitamins and minerals (for example US20080014305A1 - Albrecht CFDV). Other cosmetic and personal care uses for extracts include as an additive to a cleaner which can be used in a variety of toiletries (WO2010056232A1- Colgate Palmolive Co) and as an ingredient in a cosmetic towelette (EP1893293B1 - Conopco In DBA Unilever, Hindustan Unilever Ltd et al).

Monatin is an amino acid isolated from the root bark of the plant *Sclerochiton ilicifolius*. It is useful as it is a high intensity sweetener with potential to replace sugars. Cargill Inc (US20050112260A1) have developed tabletop sweeteners and beverages using monatin, as well as researching polypeptides and biosynthetic pathways for the production of stereoisomers of monatin (US20080020434A1). This plant and its byproducts demonstrate a potential for significant economic benefits as healthier alternatives to traditional sweeteners if taken up on a large scale.

Zantedeschia sprengeri is an herbaceous flowering plant in the family Araceae known as the Calla lily This species highlights the commercial importance of horticulture and the development of new varieties and cultivars of South African species. A number of new cultivars have been developed by Sande BV (for example US20070039082P1). Similarly Boeket Handelmaatschappij BV and Callas New Zealand Ltd (e.g.: USPP1564P3) undertake the same type of cultivar development. An aspect of the horticultural industry is that many new varieties may be developed from cultivars long established in another country though the wild variety originates from South Africa.

Species of the genus Hoodia are well known as an appetite suppressant and for its traditional uses by the San people. T & P Lovate Inc and Northern Innovations & Formulations Corp have developed an appetite suppressant as a part of a weight management composition (US20100124578A1). A process for harvesting and preparing Hoodia to make a steroidal glycoside composition for the same purpose has been developed by Conopco Inc DBA Unilever, Hindustan Unilever Ltd & Unilever NL (WO2008022875A1). We would emphasise that this data represents only part of the wider patent landscape for the Hoodia genus.

Only one species of animal is to be found in the most used list. This is *Cephalodiscus gilchristi*, a marine worm found in South African waters. This worm has been found to contain compounds, now named cephalostatins, which are powerful inhibitors of the murine P388 lymphocytic leukemia. Arizona State University and the Department of Health and Human Services of the US Government have undertaken research into the isolation and use of these compounds (US4873245A).

A number of micro-organisms - fungi, bacteria and viruses - appear in the data for SOuth Africa. The yeast *Cryptococcus amylolentus* occurs in a number of patents, often in a long list of species which can be used in processes. Yeast cells are used in methods for obtaining optically active epoxides and vicinal diols The Council for Scientific and Industrial Research in South Africa has been particularly active in this research (for example US20080199912A1). Another example of the use of micro-organisms is found with the bacteria *Sorangium cellulosum*. This species was first isolated from soil on the banks of the Zambezi River in South Africa. This species produces epothilone. This compound has been found to be effective in the treatment of cancers and its synthesis, isolation and purification have been the focus of inventions by Bristol-Myers Squibb Co (W02001064650A2).

Full details of the species identified in the research are provided in the final section of this report. In considering this data we would note that while species endemic to South Africa merit close attention, cosmopolitan species that are native to several African countries may hold significant potential for collaboration in economic development and conservation.

South Africa has a rich portfolio of species that appear in patents. It is important to emphasise that species may be involved in research and development in different areas of science and technology and may serve different markets. In some cases a species may be the target of a particular invention. In other cases a patent may suggest potential uses of a particular organism while in others, the species will be the direct focus of the claimed invention. We now turn to more detailed analysis of the technology areas involving species relevant to South Africa.

# **Technology Areas:**

Table 2 provides a brief summary of the technology areas involved in patent activity for South Africa and is followed by a more detailed break down of activity.

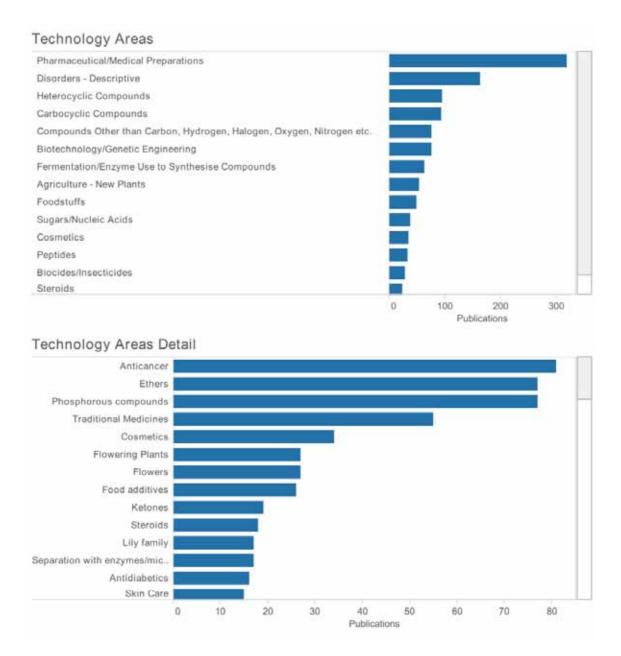


Table 2: Technology Areas

The general overview of technology areas provided in Plate 1 emphasised pharmaceuticals, disorders (descriptive) and heterocyclic and carbocyclic compounds. The narrower dataset that focuses on species from, or likely to originate from, South Africa reveals the same pattern.

Patent activity for pharmaceutical preparations involves species such as the *Combretum caffrum* and *Aloe africana*, which were discussed above. Other species include *Hypoxis latifolia* which has potential as a source of new drugs with immuno-modulatory properties due to the generation of rooperol in the gut when consumed. *Lobostemon trigonus* is cited in a long list of plant species which can be used in a phytoceutical composition for the

prevention and treatment of circulatory disorders. A breakdown of technology areas for a sample of species is provided in Table 3.

Species Technology Areas Details Species South Africa Technology Areas Details Combretum caffrum Anticancer Antipsoriatics Cardiovascular Ethers Eye disorders Joint disorders e.g arthritis Ketones Leukemia treatment Magnoliophyta Peptide derivatives from animals Peptides from animals/humans Phosphorous compounds Sesamin Aloe africana Analgesics Antiageing Anticancer Antidiabetics Comsetics containing polysaccharides Cosmetics Dental care, toothpastes, mouth rinses Ethers Food additives Foods/Extracts from fungi Hyperglycaemia/diabetes Lily family Magnoliophyta Metabolic Disorders Metabolic disorders, Anorexiants, Antiobesity 0 20 40 60 80 Publications

Table 3: Species and Technology Areas

Table 3 usefully reveals the range of potential applications and technology areas where a species and its components may be deployed. As such a species may be a focus of activity for a range of different products and markets. However, in the case of threatened species there will be a need for careful stewardship and conservation of target species.

#### **Patent Claims:**

Additional insights can be provided by examining the types of claims that are being made in relation to the species. A patent application may contain multiple claims but is required to contain only one invention. The first claim sets out the major focus of the claimed invention and frames all other claims.

Patents are awarded for three main classes of invention:

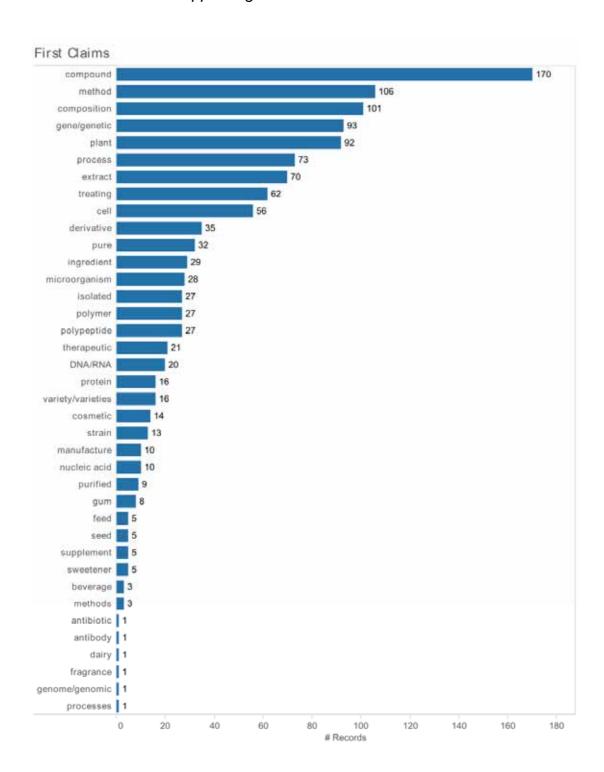
- 1. Compositions of matter;
- 2. Methods or processes;
- 3. Machines:
- 4. In some jurisdictions claims may be permitted for new plant varieties either under standard patent legislation or under specific legislation (i.e. US Plant Patents).

Table 4 displays a summary of the top terms appearing in patent claims relating to genetic resources for South Africa.

Table 4 reveals that the top category of patent claims reference compounds. These can encompass a variety of claimed inventions. For example, a pharmaceutical composition from components of Aloe plants claims "A method for inhibiting visceral fat accumulation, comprising administering an isolated compound represented by the following formula (1) to a target whose accumulation of visceral fat is to be inhibited" (US7846905B2). In this claim the compound is made from plant extracts, In contrast, the University of Pretoria claims a Phloroglucinol compound as "A phloroglucinol compound of formula 1:00R3'21R0ji512'A' R wherein, R represents an H, OH, OCH3 or CH2CH3 group or a similar hydrocarbon derivative, or a pharmaceutically acceptable salt, ester or derivative thereof" (WO2001023342A1). In this invention the compound is used to treat tuberculosis caused by pathogenic bacteria and fungi and 28 named plants are screened to test activity against drug-resistant bacteria in the development of the compound. As this makes clear claims to compounds and how species are used within the claims may take a variety of forms.

The second category of patent claims is for methods, such as methods of producing a plant, a compound or other desired outcome. Method claims are frequently more restrictive in their coverage of genetic resources because the genetic component is only claimed in so far that it is relevant to performing the method. That is, it is the method that is the focus of the invention. Therefore it is the method, and the use of the claimed genetic or biological component in performing that method, that is the subject matter of protection.

Table 4: Terms Appearing in the First Claims of Patent Documents



The third major formal category of patent claim is for compositions of matter (compositions). Compositions are commonly extracts, compounds or combinations of ingredients (i.e. in pharmaceuticals or cosmetics and herbal medicines). Patent claims for compositions typically include a list of the compounds or ingredients that are the subject matter for protection. These claims are frequently broadly constructed such that the use of compounds from the species, the genus, and in some cases the family, are incorporated into the scope of the claims. While composition of matter claims may be constructed in various ways, broad claims may well impinge upon the ability of producers from a country

to export products containing the claimed components into markets where a patent is in force.

An example of this type of issue is provided by an application submitted by Coca-Cola Co relating to hoodia species for use in a composition with high potency sweeteners for use as a weight management product. The first claim of this application reads as follows:

"A functional sweetener composition comprising: at least one weight management agent; at least one high-potency sweetener; and at least one sweet taste improving composition."

Claim 7 goes on to expand this claim by stating:

"The functional sweetener composition of claim 1, wherein the at least one weight management agent comprises at least one herbal extract selected from the group consisting of polyphenols, Garcinia Cambogia, Gymnema Sylvestre, Kola Nut, Citrus Aurantium, Yerba Mate Griffonia Simplicifolia, Guarana, Green Tea, myrrh, guggul Lipid, black current seed oil, green tea leaf, *extracts of the genera Hoodia*, Stapelia, Orbea, Asclepias, Trichocaulon, Camelia, and combinations thereof." (WO2007061873A1) (emphasis added).

This type of claim, where granted, is likely to prove to be a problem because it refers to the use of an extract of any member of the genus Hoodia to manufacture a weight management product. It illustrates the type of problem that can emerge in broadly constructed composition of matter claims. We would note that patent claims in an original application are typically broadly constructed and may be modified, narrowed or rejected at the examination stage. It is therefore important to follow the progress of applications with particular attention to the modification of patent claims. We discuss broadly constructed claims further below in connection with the problem of essential incorporation of species into patent claims.

Patent activity that involves claims to a process or processes are similar to methods claims. Typically, these claims focus on the process for producing or manufacturing a desired product (such as a chemical, a cosmetic or a beverage). It is the process itself that is the focus of the invention. For example, Conopco Inc DBA Unilever, Hindustan Unilever Ltd and Unilever NL claim "Process for preparing a composition comprising one or more steroidal glycosides, comprising the steps of a) harvesting Hoodia plants, b) drying the cut plants, whereby exposure to UV light during the drying step is avoided, such that the total UV dose is less than about 0.5IJ/m2 to obtain dried plant material" (WO2008022875A1). However, patent claims for processes are typically constructed so that a component or product created using the process is included in the scope of protection. For example, the above application ends with the following claims "8. Process according to any one of the preceding claims, wherein the plants are selected from the group consisting of Hoodia gordonii, Hoodia currorii, Hoodia lugardii and mixtures thereof. 9. Process according to claim 8, wherein the plant is Hoodia gordonii "The same component or product created using a different process would not logically fall within the scope of this type of patent. Once again it is important also to examine the modification of patent claims as they move toward patent grants.

Finally, one feature of patent activity involving species that originate from, or are distributed in South Africa is the appearance of species names in long lists of species, genera, or families, of organisms rather than evidence of the direct collection of an organism or sample in South Africa. This is characteristic of many patent applications

involving species from African countries but is unlikely to be particular to Africa. The purpose of these references can be described as incorporation of the referenced species, genus or family into the scope of the patent claims. That is, as in the case of *Cryptococcus amylolentus* mentioned above, any use of a specified compound or extract from the organism, genus or family is presented as falling within the scope of the claims. As we have suggested above, incorporation can provide useful clues on the potential properties and uses of organisms. The purpose of incorporation, from a patent lawyers perspective, is likely to be defensive. However, it is important to recognise the uncertainties and restrictions that essential incorporation of species, genera and families of organisms into patent claims may impose on producers from countries of origin in accessing markets.

As this brief discussion of patent claims suggests, it is important to pay close attention to both the type and the content of patent claims. In addition, it is important to establish whether a patent has been granted, the jurisdictions where a patent has been granted, and whether it is in force. This type of analysis is particularly important when considering the potential development of products for markets. However, detailed patent analysis such as freedom to operate, patent validity, patentability, patent infringement and patent landscape analysis requires specialist analysis beyond the scope of the present report.

Given the increasing importance of these issues for economic development the World Intellectual Property Organization has established a Patent Landscaping initiative under its development agenda that commissions specialist patent research at the request of member states. We recommend the WIPO Patent Landscaping initiative for detailed analysis of specific landscapes for species or genetic resources of interest.

# **Global Impacts and Global Markets:**

We have seen above that a range of species are involved in patent activity of relevance to South Africa. However, it is important to note that many patent applications simply go nowhere. They may embody the hopes and ambitions of individuals, researchers, universities and companies but do not ultimately have an impact either in the patent system or in the market. A means for identifying important patents is therefore needed. Here we discuss two measures: a) patent citations, and; b) patent families.

Table 5 displays the citation scores by species and assignee for species relevant to South Africa. When a patent is filed and published it becomes prior art. Later patent applications that make claims for the same invention will find that the scope of what they claim as new, involving an inventive step, and useful will be limited by these earlier claims. This is recorded in the patent system as a citation. The more often that a patent is cited by later patent applications is a measure of the importance and impact of that patent within the patent system. In some cases a single patent application may attract over a thousand citations. Patent citation counts are therefore an important measure of the importance of patent activity because these scores reveal the impact of patent activity on other applicants.

In the case of South Africa, Table 5 reveals a selection of citation scores for species of relevance to South Africa organised by assignee and species. The top cited species receives 255 citations in 19 documents from Cargill Inc involving *Sclerochiton ilicifolius* for "Chewing gum compositions comprising monatin and methods making same" (WO2005016022A1 - 45 citations), also "Beverage compositions Comprising monatin and methods of making same" (WO2005020721A1 - 42 citations) and also "Monatin tabletop sweetener compositions and methods for making

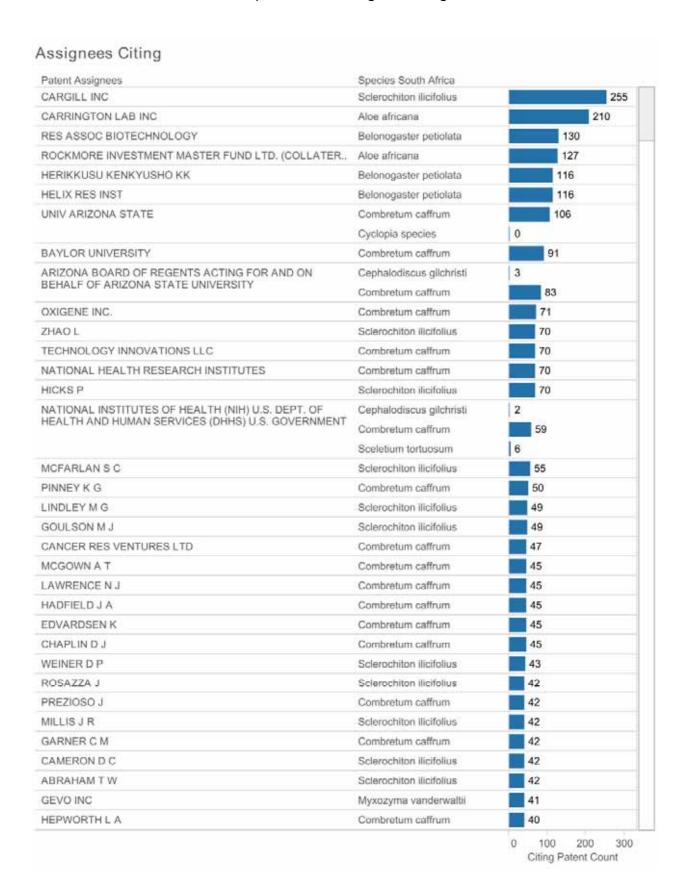
same" (WO2005014839A2 - 31 citations). All three of these top cited patents are for products derived from monatin. Additionally US20080020434A1 (17 citations) concerns "Polypeptides and biosynthetic pathways for the production of stereoisomers of monatin and their precursors", and this clearly shows the development of methods for synthesizing monatin without the need to collect it from its natural source.

Documents describing work undertaken using *Aloe africana* by Carrington Lab Inc are the next most cited, showing 210 citations of 9 documents. US4917890A (52 citations) concerns "Processes for preparation of aloe products, products produced thereby and compositions thereof'. Specifically this document describes a process which produces substantially anthraquinone-free aloe gel. The high number of citations reveals the potentially important economic importance of high quality extracts from the species, and by inference the high economic importance of the species itself.

Belonogaster petiolata is a species of wasp which has been used by Res Assoc Biotechnology in the development of "Primers for synthesizing full length cDNA and their use" (EP1130094A2). This particular patent has been cited a total of 116 times. In the original patent a B. petiolata sequence is listed in the manufacturing process. The use of the resulting oligonucleotide in subsequent synthesizing of polynucleotides for further research would not, therefore, concern the named species. This example illustrates that on occasions a species of relevance to an initial patent document does not by necessity have relevance to subsequent work citing the original document.

Six documents from Arizona State University featuring *Combretum caffrum* have been cited 106 times. One document, US5569786A, which has been cited a total of 37 times, concerns the "Isolation, structural elucidation and synthesis of novel antineoplastic substances denominated 'combretastatins'". Natural combretastatins, as stated above, are derived from the bark of *Combretum caffrum* and they have powerful anticancer properties. They may therefore have significant potential commercial value. The synthesis of combretastatins, like the synthesis of monatin, would provide a means of developing the molecules without resorting to ongoing collection from their natural source.

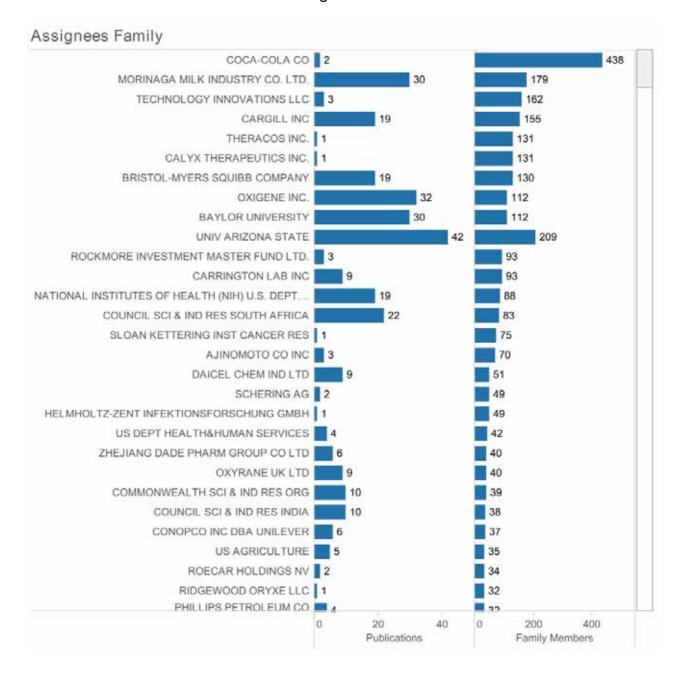
Table 5: Species and Assignee Citing Patents



A second measure of the importance of patents is provided by the size of patent families. Table 6 ranks assignees based on counts of numbers of patent family members. A patent family is simply a set of patent documents that link back to an original parent filing (known as a "priority" filing). These patent documents can be filed anywhere in the world and can be tracked using unique identifiers known as INPADOC numbers that link back to the parent document. In contrast with patent citations that provide an indicator of the impact of a patent on other applications in the patent system, the size of a patent family reveals how important a patent is to applicants. The reason for this is that they must pay fees each time they file a patent application that is linked to the parent (priority) application.

Patent family data of this type is useful in revealing the applicants who are most vigorously pursuing patent protection involving a species, or as is frequently the case, a group of species around the world. In this case Coca Cola Co claims for a "high potency sweetener for weight management and compositions sweetened therein" (WO2007061873A1 and US20070116840A1). The patent specifically claims for sweetened drinks which include herbal extracts, in this case Hoodia, and as can be seen from Table 6 these documents have a patent family comprising a further 438 documents. These patents have been taken out in countries such as Australia, Argentina, Canada, Europe, Japan as well as South Africa. The large global reach of this family of patents suggests that the company considers the invention to be of significant economic value across many markets. It also illustrates how a wealthy organisation may have a greater capacity to extend its reach on a global scale. The second ranked Morinaga Milk Industry Co is a leading dairy produce and beverage manufacturer in Japan. Their claims exclusively concern the use of the species Aloe africana. A number of different claims are made for food and beverage supplements for health and medical benefits. Examples are EP1808175A1 provides a "drug or food for improving pancreatic function", US20100286104A1 "an agent for inhibiting visceral fat accumulation" and US20100035851A1 "an agent for improving insulin resistance". The reach of their patent families which includes 179 documents covers China, Europe, Russia and North America. This example provides an indicator of the potential uses and importance of *Aloe africana* and the commercial significance that Morinaga Milk Industry places on its investment in research.

Table 6: Patent Assignees and Patent Families



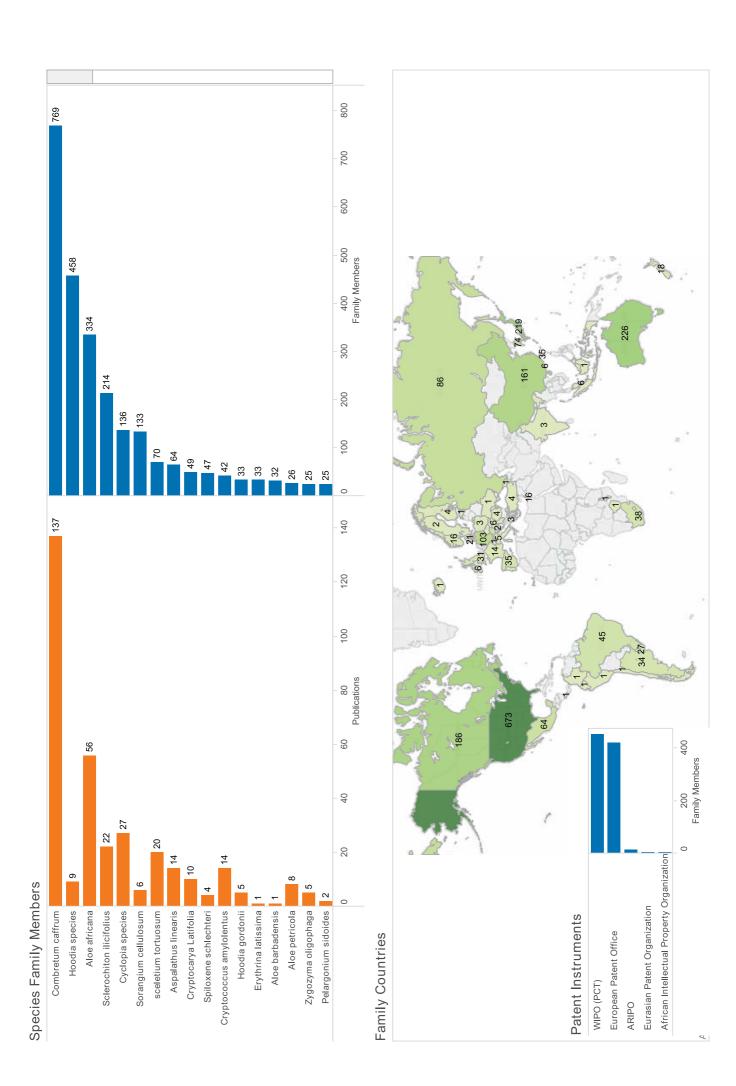
Combretum caffrum has been discussed in this report already, but it provides an excellent example of another aspect of patent families. The molecule combretastatin was first identified in the early 1980s, as a result much research has subsequently been undertaken into this chemical with anticancer properties. Technology Innovations Inc, Cargill Inc, Theracos Inc, Calyx Therapeutics Inc, Bristol Myers Sqiubb Company, Oxigene Inc, Baylor University and Arizona State University dominate the table of patent families, each having families of over 100 documents around the world and all focusing on work with combretastatins. This illustration clearly shows that, while many families will focus on a product or method, sometimes it is a single species which can attain global significance and become the focus for many players and this can be identified by examining patent family data.

As this makes clear, while care is required in analysing why a particular species is referenced in a patent document, it is possible to trace the economic importance of particular patents to patent applicants using patent family data.

This type of analysis can be extended to the species level to consider the global impacts of patent activity and the position of patents involving a species in global markets.

Plate 5 displays patent family data by species and a global map of countries where family members linked to the species have been recorded. Please note that the map does not display the geographical locations for regional and international patent offices. Plate 5 is useful because it reveals what might be called the global reach or careers of species. We can immediately see the prominence of *Combretum caffrum*, Hoodia species and Aloe africana along with *Sclerochitin ilicifolius* in this data.

Analysis of this type is also useful because it exposes the markets where protection is being sought as provided in the Family Countries map. As we might expect the United States is a primary market with Japan and Australia also featuring prominently. However, Germany, China and Canada are also emerging into this landscape. It is notable that available data suggests that patent applicants are only pursuing limited protection in South Africa itself and very little protection at all in the rest of the African continent. This suggests that South Africa may be considered by some as sufficiently economically developed that a strong protection is required, but opportunities may exist within internal markets in other African countries where patent protection is unlikely to prove to be a barrier. At the same time, patent data also suggests countries where markets may exist for products involving biodiversity from South Africa.



#### **Concluding Remarks:**

In the course of preparing this series of country reports South Africa stood out as the most complex. South Africa has the largest number of species records of any country examined so far and the greatest amount of patent activity that makes reference to the country and those species. The particular geography, habitats and biomes found in this part of the continent have resulted in a large number of endemic species and, in the realm of plants in particular, these have proved to be of great interest to inventors from a wide range of commercial and research fields.

In a significant number of cases these documents refer to pharmaceutical and medical uses of plant extracts - from species which have traditional uses such as Hoodia to compounds such as combretastatins. Some species such as those of the Aloe genus have uses across different technological fields such as pharmaceuticals, food supplements, cosmetics and toiletries whereas others have commercial value as a food additive such as the super-sweetener monatin.

The purpose of this report has been to highlight the existing and potential role of species of relevance to South Africa for economic development in support of conservation. We would emphasise that our aim has not been to identify cases of biopiracy or misappropriation. In addition the aim of the research was not to identify the complete portfolio of patent activity for a particular species or genetic resource. We have focused on those patent documents that make direct reference to South Africa or where distribution data suggests that South Africa is a likely source.

The next section presents a series of summary cards for each species identified as particularly relevant during the research. An online interactive version of these cards will be made available through abspat.net to facilitate further research.

# **Species Summaries**

The following summary tables describe the species and patent activity involving the species. This data falls into three categories:

- a) Of South African origin Patents where a named species has been identified as having been obtained from South Africa.
- b) With South African distribution Patents where there is no reference to South Africa but distribution data suggests that the species may have originated from South Africa (Distribution 1).
- c) For the sake of completeness we include a final section on 'Other Species' that appear in patent documents and are of potential interest. Patents in this group either target the organism (i.e. with a pesticide) or make a reference to the organism in the course of the application.

In reading these tables, note that the number of documents refers to the number of documents retained during research on the origin of species of relevance to South Africa. It does not refer to the wider patent landscape for the species consisting of the total number of documents making reference to the species, or its components, in the global patent system. This point is particularly important in the case of species such as *Hoodia gordonii*.

Species may appear in patent documents in this list for a variety of reasons:

- 1. Because they are a focus of the invention;
- 2. Because they are incorporated into the claims of the invention;
- 3. Because they are a target of the invention (i.e. pathogens or pests)
- 4. Because a reference to a species, including in very limited cases a literature reference, indicates that the species is of potential interest for economic development and merits further investigation.

Species that fall into the first two categories will be included in the summary section. Species that are in the last two categories will be found in the Other Species section.

This report focuses on identifying species that are of potential interest for economic development and conservation based on their appearance in patent data. The data in this summary section should not be used to draw conclusions about misappropriation or biopiracy.

Species name: Acacia mearnsii

Kingdom: Plantae

Brief description of species:

Originates from Australia. A tree which has become one of the most invasive species across the globe.

Distribution: Cosmopolitan

No of documents: 1



Detail: An extract of the bark of Acacia mearnsii (blackwattle) is prepared. The extract may be used as an anti-oxidant in animal feeds and in the raw materials of feeds, as well as in the prevention of the oxidation and depletion of vitamins therein and in vivo.

# Of South African origin

Species name:

Agapanthus orientalis

Kingdom: Plantae

Brief description of species:

Lily of the Nile. Species boundaries are not clear in the genus, and in spite of having been intensively studied, the number of species recognized by different authorities varies from 6 to 10.



Distribution: Uncertain

No of documents: 1

US2010050307P1 (US Plant Patent)

Detail: New variant 'PMN06' is a distinctive variety of Agapanthus orientalis, which is characterized by its distinctive violet-blue and white bicolored flowers.

Species name: Kingdom: Plantae Agathosma betulina

Brief description of species:

Agathosma betulina is a flowering plant in the family Rutaceae, native to the lower elevation mountains of western South Africa, where it occurs near streams in fynbos habitats.



Distribution: Endemic No of documents: 1

WO2006090239A1

Detail: A treatment pad for soothing skin around the eye. The pad contains extracts of plants including A. betulina

# Of South African origin

Species name: Kingdom: Plantae Aloe africana

Brief description of species:

Known as the African aloe, it is a large species of succulent plant. Restricted to the southeastern part of South Africa, in the Eastern Cape

Distribution: Endemic No of documents: 56

EP0328775A1 EP0619117A2 EP0857485A2 EP0965345A2 EP1731158A1 EP1731527A1 EP1795200A1 EP1808175A1 EP1882472A1 EP1882477A1 EP1927360A1 EP1927361A1 EP1930014A1 EP1930341A1 US2002031481A1 US2003170325A1 US2004115138A1 US2006134238A1 US2007032463A1 US2007141341A1 US2007196435A1 US2008044500A1 US2008125379A1 US2008255077A1 US2009054354A1 US2009069254A1 US2009075913A1 US2009093450A1 US2009131388A1 US2009312275A1 US2010035851A1 US2010240632A1 US2010286104A1 US4598069A US4735935A US4801582A US4917890A US4959214A US4966892A US5650157A US5756141A US6375992B1 US6893648B2 US7329421B2 US7531520B2 US7534770B2 US7674784B2 US7754704B2 US7812012B2 US7846905B2 WO1987000052A1 WO1991016914A1 WO1997034504A1 WO2001062265A1 WO2002085236A2 WO2007075449A2

Detail: EP0328775A1 provides processes for extracting active chemical substances of aloe. US2009054354A1 provides a food additive to improve pancreatic function using plant extracts from aloe. WO1991016914A1provides a deodorizing preparation for oils and pharmaceuticals.

Species name: Aloe barbadensis

Kingdom: Plantae

Brief description of species:

Synonym of Aloe vera, a succulent plant species that probably originated in northern Africa. The species does not have any naturally occurring populations.



Distribution: Cosmopolitan

No of documents: 1

US2005019384A1

Detail: Aspects of the invention concern transdermal delivery systems comprised of an ethoxylated lipid. Some formulations are used to deliver pharmaceuticals, therapeutic compounds, and cosmetic agents of various molecular weights.

# Of South African origin

Species name: *Aloe petricola* 

Kingdom: Plantae

Brief description of species:

Aloe petricola belongs to the Aloe genus in the Xanthorrhoeaceae family, and is commonly known as a stone aloe. Like other aloes, this species is used medicinally.



Distribution: Endemic

No of documents: 8

EP1888021B1 WO2006125619A1 WO2010029005A2 WO2010029007A2 WO2010066723A1 WO2010072576A2 WO2010072577A2 WO2010076122A2

Detail: EP1888021B1, WO2010029007A2, WO2010072577A2: The inventions relates to hair care products containing agents using aloe extracts for dyeing and/or permanently changing the shape of keratin fibers (hair).

#### With South African distribution

Species name:

Aloe vanbalenii

Kingdom: Plantae

Brief description of species:

Aloe with trailing leaves that only leave the ground once enough stem has formed. Like other aloes, this species is used medicinally.



Distribution: Cosmopolitan

No of documents: 2

US5824659A WO1998009635A1

Detail: US5824659A, WO1998009635A1:The inventions relates generally to protection of the immune system. More particularly, it concerns preventing or correcting immunological damage to skin exposed to ultraviolet irradiation, using aloe extracts.

#### With South African distribution

Species name:

Kingdom: Plantae

Anisodontea elegans

Brief description of species:

Anisodontea is a genus in the tribe Malveae in the family Malvaceae. It comprises twenty-one species native to South Africa.



Distribution: Endemic

No of documents: 3

USPP16301P2 USPP18820P2 USPP21393P2 (US Plant Patents)

Detail: These documents refer to new cultivars of the species grown in South Africa and Australia.

#### With South African distribution

Species name: Arxiozyma telluris	Kingdom: Fungi		No Image Available
Brief description of species: Thermophillic yeast.			
Distribution: Cosmopolitan		No of documents: 2	

#### EP0790302A1 US5948665A

Detail: EP0790302A1, US5948665A: A hexokinase of excellent stability in solution whether in the presence or the absence of glucose, and the present enzyme is a novel substance derived from the cultured of thermophilic yeast.

#### With South African distribution

Species name: Kingdom: Plantae

Asclepias hastata

Brief description of species:

Synonym for Cynanchum bungei, aka milkweed.

Distribution: Cosmopolitan No of documents: 2

EP1915997A1 US2009104295A1

Detail: These documents are by the same applicant for a herbal hair growth tonic using processed Cynanchum bungei.

# Of South African origin

Species name: Kingdom: Plantae

Aspalathus linearis

Brief description of species:

Rooibos is a broom-like member of the legume family of plants growing in South Africa's fynbos. Used as a bevarage and for cosmetics and medical uses.



WO2010000580A WO2010000579A WO2010000564A WO2008110551A WO2007057310A WO2005041854A US2010222423A1 US2009104298A1 US2009004331A1 US2008247974A1 US2004156798A1 US7094432B2 EP2133088A2 EP1680067B1

Detail: WO2010000580A: The use of rooibos or rooibos extract in combination with at least one prebiotic for improving skin or hair health. US2009104298A1: The use of an extract of fermented and/or unfermented rooibos leaves and/or stems for reducing or slowing the loss of the natural or artificial colour of hair. US7094432B2: A cosmetic composition comprising Rooibus tea extract in combination with at least one protective agent which is a skin protective agent.



Species name: Aspergillus carneus	Kingdom: Plantae		No Image Available	
Brief description of species: An aerobic mold.				
Distribution: Cosmopolitan	tribution: Cosmopolitan		No of documents: 1	
WO2009122362A				

Detail: The invention describes a new Aspergillus carneus fungus strain, designated Aspergillus carneus (van Tiegham) Blockwitz (CBS 116150). The fungus strain produces a number of exogenous fibrolytic enzymes which are capable of increasing cell wall degradation, and thereby digestibility, of an animal feed.

# Of South African origin

Of South African origin						
Species name: Bacillus halodurans	Kingdom: Bacteria		No Image Available			
Brief description of species: Alkiphillic bacteria, rod shaped gram-positive and motile, genetically adapted to alkaline environments						
Distribution: Uncertain		No of documents: 1				
US2008003237A1						
Detail: The invention provides flagellin-based fusion proteins (FBFP) useful for a variety of purposes, in bioremediation to remove metal ions from a liquid.						

#### With South African distribution

Species name: Bacterium xylinum	Kingdom: Bacteria		No Image Available	
Brief description of species: Some acetic acid bacter Acetobacter xylinum, are kn something normally done only				
Distribution: Cosmopolitan		No of documents: 5		
EP1647540A1 EP1647540B1 US2006096588A1 US7674381B2 WO1998043489A1				
Detail: WO1998043489A1: A kombucha based health product. Kombucha is a composition which may contain bacterium xylinum.				

#### With South African distribution

Species name: Kingdom: Animalia

Belonogaster petiolata

Brief description of species:

Wasp species, listed in rDNA encoding list. Wide

distribution.

Distribution: Cosmopolitan No of documents: 2

EP1130094A2 EP1396543A2

Detail: Wasp DNA sequence in long list of homologues for primers for synthesizing

rDNA.

#### With South African distribution

Species name: Kingdom: Plantae

Bifurcaria brassicaeformis

Brief description of species:

Accepted name: Brassicophycus brassicaeformis (WORMS). Extraction of pharma and cosmetic products

Distribution: Uncertain No of documents: 2

WO2006077433A1 US2008260662A1

Detail: WO2006077433A1 US2008260662A1: A sunscreen product using algae derived

compounds. B. brassicaeformis listed as a source of fucoxanthin.

#### With South African distribution

Species name: Kingdom: Plantae

Brief description of species:

Blepharis acuminata

Metal accumulating plant. Metal recovery from soils.

Distribution: Cosmopolitan No of documents: 5

EP1133576B1 US7268273B2 US2002174451A1 US2008134364A1 WO2000028093A1

Detail: EP1133576B1:Relates to recovering metals, such as nickel and cobalt, by phytomining or phytoextracting soils rich in metals wherein the desired metal is selectively accumulated in hyperaccumulator plants by adjusting the soil pH.





Species name:
Botryoascus
synnaedendrus

Kingdom: Fungi

No Image Available

Prief description of species:
Airborne microbial fungus.

Distribution: Uncertain No of documents: 12

EP0197474A2 EP0779366A1 EP0939134A1 EP1288213A1 US5629200A1 US5726047A US5811293A US7094594B2 US2003143701A1 US2005080277A1 US2006246557A1 US4898822A

Detail: In all patents this is listed in claims for the preparation of various chemicals and derivatives.

## Of South African origin

Species name: Kingdom: Plantae
Bulbine frutescens

Brief description of species:

A flowering plant used for treatment of skin problems such as burns. Also used as an infusion. Cultivated ornamental plant.

Distribution: Cosmopolitan No of documents: 1

US2010062085A1

Detail: A skin treatment for scars and as a cosmetic for aging skin using extracts from this species.

#### With South African distribution

Species name: Kingdom: Plantae

Brief description of species:

Bulbine natalensis is a herb found originally in South Africa that has become very popular with bodybuilders looking to gain muscle mass and as a testosterone booster.

Distribution: Uncertain No of documents: 1

US6159494

Detail: Treatment for healing post operative scar tissue.

Species name: Callitris arborea

Kingdom: Plantae

Brief description of species:

Synonym for Widdringtonia wallichii, listed as species

producing taxol.

Distribution: Uncertain No of documents: 5

EP1364005B1 US2005158860A1 US2005164162A1 WO2002059290A2

WO2003062419A1

Detail: Patents for evolving cells which produce taxol.

#### With South African distribution

Species name: Candida xylopsoci

Kingdom: Fungi

No Image Available

Brief description of species:

Yeast strain in long list for genetic modification to use in a process.

Distribution: Uncertain

No of documents: 2

US2010291653A1 US2010285545A1

Detail: The biotransformation of Candida to generate oligomers and polymers.

### Of South African origin

Species name: Carpobrotus edulis

Kingdom: Plantae

Brief description of species:

Distribution: Cosmopolitan

Carpobrotus edulis is native to South Africa. It is also known as Hottentot Fig. Naturalised in many places across the globe.

No of documents: 1

WO2007144723A2

Detail: The manufacture of an anti-itch cream from C. edulis.

Species name:

Cephalodiscus gilchristi

Kingdom: Animalia

Brief description of species:

Marine worm, extracts from which are used in treatment

of leukemia.

Distribution: Uncertain No of documents: 4

WO2010068877A2 US5583224A US5047532A US4873245A

Detail: Cephalostatin, derived from the marine worms, is used as tumor inhibitor.

## Of South African origin

Species name: Kingdom: Plantae

Coleonema album

Brief description of species:

AKA White Confetti Bush. Grown as ornamental plant.

Distribution: Endemic No of documents: 1

WO2005105124A

Detail: A pharmaceutical composition for use as an immune stimulant, an antibacterial agent, an antifungal agent or an antiviral agent.

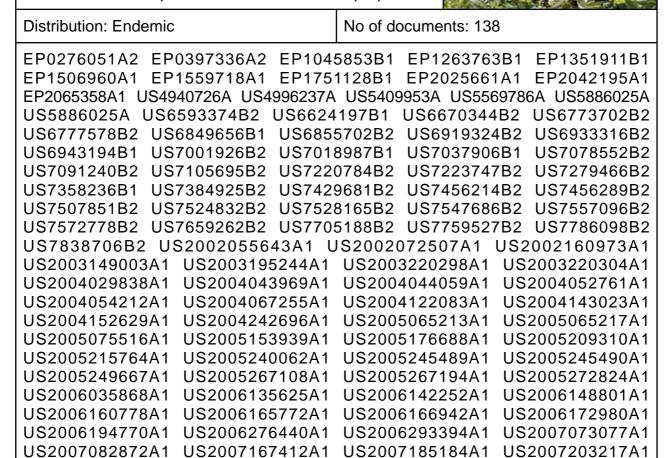


Species name: Kingdom: Plantae Combretum caffrum

Brief description of species:

US2007276172A1

Eastern Cape South African Bushwillow tree. Discovered in 1970s. Bark has proved to have anti-cancer properties.



US2008214509A1 US2008306027A1 US2009075943A1 US2009170956A1 US2009186857A1 US2009192098A1 US2009258937A1 US2010004403A1 US2010016261A1 US2010129471A1 WO1994005682A1 WO1999034788A1 WO2001019794A2 WO2001068654A2 WO2001081355A1 WO2002022626A1 WO2002049994A2 WO2002050007A2 WO2002056692A1 WO2002102766A2 WO2003024911A1 WO2003035008A2 WO2003040077A1 WO2003059855A1 WO2004009127A1 WO2003086414A1 WO2004052875A1 WO2004099139A1

US2008119649A1

WO2006074041A2 WO2006138427A2

WO2007035620A2 WO2007086882A2 WO2007110881A1

WO2005007603A2 WO2005113532A1

US2008045752A1

Detail: US5569786A: Isolation and study of combretastatin, an anteneoplastic substance with potential lymphocytic leukemia inhibiting properties. US4996237A: Combretastatin A-4, found to have significant anti tumor properties.

US2008146528A1

Species name:

Kingdom: Plantae

Crassula argyrophylla

Brief description of species:

Alternative name: Crassula globularioides subsp. argyrophylla. Succulent perennial herb, often forming clumps or mats. Listed in patent for extraction of metals from metal-rich soils. Metal accumulator.



Distribution: Endemic

No of documents: 1

EP1133576B1

Detail: Method for extracting metals from soils using metal accumulating plants.

#### With South African distribution

Species name:

Kingdom: Plantae

Crassula fascicularis

Brief description of species:

Crassula fascicularis is a small branched shrublet that grows several erect stems, topped with clusters of white. cream or light yellow flowers in spring.



Distribution: Endemic

No of documents: 3

US2004133941A1 US2003175678A1 WO2002059374A1

Detail: a method for identifying genes and producing catechin from C.fascicularis.

#### With South African distribution

Species name: Crocosmia fucata Kingdom: Plantae

Brief description of species:

Crocosmia is a genus in the Iridaceae family from tropical and eastern South Africa. Plants have erect sword shaped leaves and spikes of tubular or funnel shaped orange to red flowers. Extracts from genus can be used as mammalian a-amylase inhibitors.



Distribution: Cosmopolitan

No of documents: 1

WO2009049428A1

Detail: Cited as a natural source of montbretins for use as an alpha amylase inhibitor.

Species name:

Kingdom: Plantae

Crocosmia masonorum

Brief description of species:

Crocosmia is a genus in the Iridaceae family from tropical and eastern South Africa. Plants have erect sword shaped leaves and spikes of tubular or funnel shaped orange to red flowers. Extracts from genus can be used as mammalian a-amylase inhibitors.



Distribution: Cosmopolitan

No of documents: 4

WO2009049428A1 USPP17165P2 USPP15587P2 USPP14885P2. Note that USPP documents are US Plant Patents.

Detail: WO2009049428A1: Cited as a natural source of montbretins for use as an alpha amylase inhibitor. USPP17165P2 USPP15587P2 USPP14885P2: Patents for new cultivars outside of South Africa.

#### With South African distribution

Species name:

Kingdom: Plantae

Cryptocarya latifolia

Brief description of species:

Common Name, Broad-Leaved Quince. An evergreen tree with a dense crown. Extracts for control of tuberculosis.



Distribution: Endemic

No of documents: 3

US6835755B1 WO2001000554A2 WO2001023342A1

Detail: Extracts from C. latifolia tested against M. tuburculosis and found to be an inhibitor.

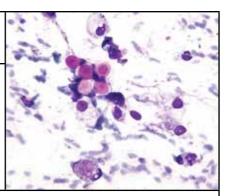
Species name:

Kingdom: Fungi

Cryptococcus amylolentus

Brief description of species:

Cryptococcus is a genus of fungus. These fungi grow in culture as yeasts. The sexual forms or teleomorphs of Cryptococcus species are filamentous fungi in the genus Filobasidiella. Polypeptide encoded by yeast cell gene used in process for obtaining an optically active epoxide.



Distribution: Uncertain No of documents: 12

US2007275448A1 US2007281339A1 US2008171359A1 US2008199912A1 US2008213833A1 US2008286832A1 US2009275077A1 WO2005100578A2 WO2005100587A2 WO2006109198A2 WO2007010403A2 WO2007069079A2

Detail: US2007275448A1, WO2005100578A2: Yeast strains, and polypeptides encoded by genes of such yeast strains, that have enantiospecific meso-epoxide hydrolase activity. US2008171359A1 WO2007010403A2: Recombinant yeasts for synthesizing epoxide hydrolases

Of South African origin				
Species name: Cryptosporidium parvum	Kingdom: Protista		No Image Available	
Brief description of species: Cryptosporidium parvum is one of several protozoal species that cause cryptosporidiosis.				
Distribution: Cosmopolitan No of docume			nts: 1	
WO2001077293A2				
Detail: A method for inhibiting the attachment of C. parvum to a host cell.				

Species name: Cyclopia species Kingdom: Plantae

Brief description of species:

Cyclopia genistoides, Cyclopia intermedia, Cyclopia sessiliflora, Cyclopia subternata. The honeybush, a plant

similar to Rooibos.

Distribution: Endemic

No of documents: 28

EP1702675A1 EP1893293B1 EP2253226A1 US7576213B2 US2005255179A1 US2006134265A1 US2006275241A1 US2007031462A1 US2007077308A1 US2008014305A1 US2008102132A2 US2010119463A1 US2011021397A1 WO2003092413A1 WO2004000422A1 WO2005058476A1 WO2006131159A1 WO2008110552A2 WO2009077850A1 WO2010000577A2 WO2009125017A2 WO2010000578A2 WO2010056232A1 WO2010056233A1 WO2010056675A2 WO2010146142A2 WO2008110552A US2005196511A1

Detail: WO2010000578A: WO2010000577A: For use with prebiotics for skin and hair treatment. WO2008110552A: An anti-diabetic extract of honeybush.

#### With South African distribution

Species name:

Kingdom: Plantae

Delosperma basuticum

Brief description of species:

Delosperma is a genus of around 100 species of succulent plants. Ice plant. patent for new cultivar for horticulture.

Distribution: Endemic

No of documents: 1

USPP15793P3 (US Plant Patent)

Detail: A new cultivar of the species grown in the USA.

#### With South African distribution

Species name:

Kingdom: Plantae

Dodonaea thunbergiana

Brief description of species:

Synonym for Dodonaea viscosa (hop bush) cosmopolitan

tropical plant.

Distribution: Cosmopolitan

No of documents: 1

WO2005076748A2

Detail: Patent for anti inflamatory pharmaceutical from plant extract.



Species name: Ecklonia maxima Kingdom: Chromista

Brief description of species:

Sea bamboo, is a species of kelp native to the southern oceans. It is most typically found along the southern Atlantic coast of Africa, from the very south of South Africa north to Namibia. It is harvested for both an agricultural supplement and as food for abalone raised on farms.



Distribution: Cosmopolitan No of documents: 1

US3971848A

Detail: A composition having lubricating property, which is produced from the phaeophyceae such as sea tangle and ecklonia.

#### With South African distribution

Species name: Ehrharta microlaena Kingdom: Plantae

Brief description of species:

Ehrharta is a genus of about 25 to 35 species of grass mostly native to Africa, with a few species native to the Mascarene Islands and Indonesia. An isolated nucleic acid molecule which encodes a polypeptide. Various agricultural uses.



Distribution: Uncertain No of documents: 1

WO1998007836A1

Detail:Purified leucoanthocyanidin reductase polypeptides and methods for obtaining same. Nucleic acid molecule from E. microlaena in process.

### Of South African origin

Species name: Elephantorrhiza elephantina

Kingdom: Plantae

Brief description of species:

Low growing suffrutex, arising from a massive underground tuberous root.



Distribution: Cosmopolitan No of documents: 2

US2010316748A1 WO2009053857A2

Detail: Extract of E.elephantina used to treat BPH.

Kingdom: Bacteria No Image Available Species name: **Enterococcus** phoeniculicola

Brief description of species:

Novel bacteria identified in 2003. Patents concerning identification of named bacteria and additionally research into pharmaceuticals.

Distribution: Uncertain No of documents: 1

WO2005103294A1

Detail: WO2005103294A1 A microarray for detecting lactic acid bacteria which includes DNA from named species.

Species name:

Of South African origin

Kingdom: Plantae

Eriocephalus africanus

Brief description of species:

Eriocephalus africanus is a bushy shrublet indigenous to South Africa. It has a wide distribution in the Western and Eastern Cape, and in Namaqualand.

Distribution: Endemic No of documents: 1

WO2006090239A1

Detail: A treatment pad for soothing skin around the eye. The pad contains extracts of plants including A. betulina.

## Of South African origin

Species name: Kingdom: Plantae Eriocephalus punctatus

Brief description of species:

Eriocephalus africanus is a bushy shrublet also known as Cape Chamomile, it has traditional medical applications. Indigenous to South Africa.

Distribution: Endemic No of documents: 1

WO2006090239A1

Detail: A treatment pad for soothing skin around the eye. The pad contains extracts of plants including A. betulina.

Species name: Erythrina latissima Kingdom: Plantae

Brief description of species:

A deciduous tree from southern Africa growing 5 to 8 m tall. It is a member of the Fabaceae and occurs naturally in the Afrotemperate mist-belt.

Distribution: Cosmopolitan

No of documents: 1

WO1990009438A1

Detail: Trypsin Inhibitor DE-3 from the Seeds of Erythrina latissima for a thrombolytic, isolation and pharmaceutical usage.

#### With South African distribution

Species name: Euclea natalensis Kingdom: Plantae

Brief description of species:

The Euclea natalensis, or Natal Guarri is a small to medium shrub/tree. It occurs predominantly within riverine forest however it can be found in a variety of habitats.



Distribution: Cosmopolitan

No of documents: 1

WO2001023342A1 US6835755B1 WO2001000554A2

Detail: Extracts used medicinally. Research into tuberculosis treatments.

#### With South African distribution

Species name:

Kingdom: Plantae

Eucomis vandermerwei

Brief description of species: Eucomis vandermerwei is a South African plant and a

member of the Asparagus family. Grown horticulturally

around the world. Patent for new cultivars.

Distribution: Endemic

No of documents: 2

USPP17749P3 US2007050872P1 (US Plant Patents)

Detail: New cultivar of pineapple lily from E. vandermerwei.

Species name: Kingdom: Fungi No Image Available Eupenicillium alutaceum

Brief description of species:

Distribution: Uncertain

Penicillium is a genus of ascomycetous fungi of major importance in the natural environment as well as food and drug production.

No of documents: 3

EP0682116A1 US5036004A US5612208A

Detail: US5612208A: A source of L-serine. EP0682116A1, US5036004A: A source of L-ascorbiate oxidase and gene encoding.

#### With South African distribution

Species name: Kingdom: Plantae

Gazania rigens leucolaena

Brief description of species:

Gazania rigens is a clumping or spreading plant grown for colorful yellow flowers contrasting with the silver folige.

Distribution: Uncertain No of documents: 1

USPP68250A

Detail: Patent for a new cultivar of G. rigens.

#### With South African distribution

Species name: Kingdom: Plantae

Gelidium foliaceum

Brief description of species: A species of marine algae.

Distribution: Uncertain No of documents: 1

US2008226740A1

Detail:Marine algal extracts comprising marine algal polysaccharides of low degree polymerizaton, and the preparation processes and uses thereof.

Species name: Gerbera lagascae Kingdom: Plantae

Brief description of species:

Synonym for Gerbera linnaei.. Gerbera is very popular and widely used as a decorative garden plant or as cut flowers. The domesticated cultivars are mostly a result of a cross between Gerbera jamesonii and another South African species Gerbera viridifolia.



Distribution: Uncertain

No of documents: 2

EP2011388A1 US2009083875A1

Detail: No clarity of which species is used in the actual invention.

#### With South African distribution

Species name:

Kingdom: Plantae

Gleditsia africana

Brief description of species:

Synonym for Erythrophleum africanum, the African blackwood, it is a legume species in the genus Erythrophleum found in Savannahs of tropical Africa. It produces a gum similar to gum arabic. Used in cosmetics and dermatology.



Distribution: Cosmopolitan

No of documents: 2

EP2046356B1 WO2008009813A2

Detail: The invention relates to a novel use of an extract of Gleditsia and/or of triacanthine for the preparation of a cosmetic and/or dermatological composition

# Of South African origin

Species name: Haliclona tulearensis Kingdom: Animalia

Brief description of species:

Haliclona sp., common names Blue sponges or blue finger sponges, is a species of sponge found throughout the Indian ocean and is a source of unique alkaloids.



Distribution: Cosmopolitan

No of documents: 2

WO2000020411A US6635656B1

Detail: A new N-containing metabolite named halitulin with cytotoxic activity, which in turn has led us to a new class of active compounds.

Species name: Kingdom: Animalia Haliotis midae

Brief description of species:

South African abalone, one of several sea snails endemic to South Africa.

Distribution: Endemic No of documents: 1

WO2009109359A

Detail: Relates to a protein or glycoprotein extractable from Haliotis midae and its use as an agent able to prevent the symptoms of allergic disorders.

### With South African distribution

Species name: Hansenula lynferdii	Kingdom: Fungi		No Image Available	
Brief description of species: A yeast referred to as mea coal.				
Distribution: Uncertain No of docume			nts: 1	
US4851350A				
Detail: A means of desulphurising coal and oil in an aqueous state.				

#### With South African distribution

Kingdom: Fungi		No Image Available
Distribution: Uncertain No of docume		ents: 7
EP0017853A2 EP0041650A2 EP0071990A2 US4414334A US7462 US2005272940A1 US4261420A		
	50A2 EP007	No of docume 50A2 EP0071990A2 US4

Detail: A yeast used in a method of producing a single cell protein material. Also treating an aqueous liquid containing dissolved oxygen to substantially eliminate said dissolved oxygen.

Species name: Harpagophytum procumbens Kingdom: Plantae

Brief description of species:

Devil's Claw. Has many medical uses particularly antiinflammatory and analgesic uses.

Distribution: Cosmopolitan

No of documents: 3

US2010261663A1 US2008279931A1 US2008138406A1

Detail: US2010261663A1: Anti-inflammatory composition. US2008279931A1,

US2008138406A1 relief composition.

## Of South African origin

Species name:

Kingdom: Plantae

Helichrysum caespititium

Brief description of species:

Extracts used medicinally. Research into tuberculosis

treatments.

Distribution: Cosmopolitan No of documents: 1

WO2001023342A

Detail: Isolation of caespitate from H. caespititium and other species in this genus, for use in tuberculosis treatment.

### Of South African origin

Species name:

Kingdom: Animalae

Hemachatus haemachatus

Brief description of species:

Venomous snake aka rinkhals, similar to cobras.

Distribution: Endemic No of documents: 1

US2009180995A1

Detail: Venom being used to develop an anti-coagulant.

African strain

Species name: Kingdom: Virales No Image Available HIV Subtype C South

Brief description of species:

Subtype C is the dominant form in Southern Africa, India, and Nepal.

Distribution: Cosmopolitan No of documents: 3

US2007166784A1 EP2266602A2 WO2006050394A2

#### With South African distribution

Species name: Kingdom: Plantae Hoodia species

Brief description of species:

Hoodia flava and Hoodia dregei are succulents native to the Cape Province in South Africa. They are stem succulents traditionally used by the san people of the Namib desert as an appetite suppressant. Note that this data does not refer to the complete patent portfolio for this species.



Distribution: Endemic No of documents: 9

US2007116840A1 US2008261309A1 US2008261310A1 US2010124578A1 WO2007061873A1 WO2008128842A1 WO2008128847A1 WO2010054469A1 WO2010054469A9

Of South African origin

Species name: Kingdom: Plantae

Brief description of species:

Hoodias are succulents native to southern Africa. They are stem succulents traditionally used by the san people of the Namib desert as an appetite suppressant.

Distribution: Cosmopolitan No of documents: 1

WO2006051334A1

Detail: Use of Hoodia as an appetite suppressant.

Species name: Hoodia gordonii

Kingdom: Plantae

Brief description of species:

Hoodias are succulents native to southern Africa. They are stem succulents traditionally used by the san people of the Namib desert as an appetite suppressant. Note that patent numbers do not refer to the complete portfolio of patents referencing Hoodia gordonii but those referencing South Africa only.



Distribution: Cosmopolitan

No of documents: 5

US2009186103A1 US2010009063A1; US2006159773A1; US2006105068A1

Detail: US2009186103A1: Process for extracting dry plant material. US2010009063A1: List in claim of active substances. US2006159773A1: Herbal health composition. US2006105068A1: Dietary supplement.

## Of South African origin

Species name: Hoodia species

Kingdom: Plantae

Brief description of species:

Hoodias are succulents native to southern Africa. They are stem succulents traditionally used by the San people of the Namib desert and promoted as an appetite suppressant.



Distribution: Uncertain

No of documents: 5

US2010247581A1 US2010098783A1 WO2009071425A1 WO2008022875A1

WO2006079056A1

## With South African distribution

Species name: Hypoxis latifolia Kingdom: Plantae

Brief description of species:

Hypoxis is a genus of plant belonging to the Hypoxidaceae family. The seeds are needed to identify many species. Extract used for treatment of cancer and viral infections.



Distribution: Uncertain

No of documents: 4

EP0092226A2 EP0130829A2 EP0587396A1 US5609874A

Detail: Source of hypoxoside in treatments for cancer and viral infection.

Species name: Hypoxis rooperii	Kingdom: Plar	ntae		3
Brief description of species: Hypoxis is a genus of Hypoxidaceae family.	plant belon	ging to the		
Distribution: Uncertain No of documents: 2				
US5569649A WO1995034296A1				
Detail: Source of hypoxoside for use in anti inflammatory treatments.				

Of South African origin

Species name: Jaspis digonoxea	Kingdom: Animalia		No Image Available
Brief description of species: A marine sponge.			
Distribution: Cosmopolitan	osmopolitan No of docume		nts: 1
EP0687673A1			
Detail: Extraction from sponge, used as an anti tumor treatment.			

Of South African origin

Species name: JSRV retrovirus	Kingdom: Virales		No Image Available
Brief description of species: Jaagsiekte sheep retrovirus (JSRV) is a betaretrovirus which is the causative agent of a contagious lung cancer in sheep called Jaagsiekte.			
Distribution: Cosmopolitan No of docume			nts: 1
WO2001004266A1			
Detail: Use of JSVR in gene therapy.			

Species name: Kingdom: Fungi No Image Available Kluyveromyces delphensis Brief description of species: Kluyveromyces is a genus of ascomycetous yeasts in the family Saccharomycetaceae. Distribution: Cosmopolitan No of documents: 3 US6770470B2 US2003008377A1 WO2002008385A1

Of South African origin

Kingdom: Plantae Species name: Lippia javanica Brief description of species: Lippia is a type of verbena which grows on open velt and on forest margins No of documents: 2 Distribution: Cosmopolitan US2008193387A1 WO2006090239A1

Detail: Use in an insecticide containing 30% Lippia oil.

Detail: Used in a process for mixing genetic material.

Detail: Use in treatment of waste water to remove TMAH.

## With South African distribution

Species name: Kingdom: Plantae Lithops salicola Brief description of species: Lithops salicola is a species of plant in the Aizoaceae family. Patent for methods of mixing large numbers of heterologous genes. No of documents: 2 Distribution: Cosmopolitan US2006252156A1 WO2004016791A1

Kingdom: Plantae Species name:

Lobostemon trigonus

Brief description of species:

Patent is for a phytoceutical formulation used to treat HIV/ AIDS and/or immune related diseases using extracts from this South African plant. Endemic to Eastern and Western Capes.

Distribution: Endemic No of documents: 3

US2007104728A1 US2008089946A1 WO2007059441A2

Detail: Used as an ingredient for phytoceutical compositions.

## Of South African origin

Kingdom: Plantae Species name: Monsonia angustifolia

Brief description of species:

A widespread annual herb which grows in woodland and grassland.

Distribution: Cosmopolitan No of documents: 2

WO2007138531A US2009202662A

Detail: Used in a composition for the treatment of erectile dysfunction and libido.

#### With South African distribution

Species name: Kingdom: Fungi No Image Available Myxozyma vanderwaltii

Brief description of species:

A non-fermenting yeast microorganism.

No of documents: 3 Distribution: Uncertain

US2009226991A1 WO2009086423A2 WO2010075504A2

Detail: A method for producing isobutanol using a variety of yeast species during the process. M vanderwaltii listed in claims as usable species.

Species name: Kingdom: Animalia Naja flava

Brief description of species:

The Cape cobra is a moderate-sized, highly venomous cobra inhabiting a wide variety of biomes across Southern Africaincluding arid savanna, fynbos, bushveld, desert and semi-desert regions.



Distribution: Endemic No of documents: 1

US4126676A

Detail: A modified Naja derived neurotoxin for use as treatment for neurological disease.

Of South African origin

Species name: Kingdom: Fungi No Image Available
Neosartorya fischeri

Brief description of species:

A microbial fungus.

Distribution: Cosmopolitan No of documents: 1

WO2009031101A2

Detail: Used in a process for the improvement of otherwise waste coal.

Of South African origin

Species name: Kingdom: Plantae Nidorella anomala

Brief description of species:

Small flowering plant, member of the Asteraceae family.

Distribution: Endemic No of documents: 1

WO2001023342A

Detail: Use of medicinal plants for the treatment of tuberculosis.

Species name:
Nudaurelia omega virus

Kingdom: Virales
No Image Available

Brief description of species:
Small insect virus affecting moth species Nudaurelia capensis

Distribution: Cosmopolitan No of documents: 5

US2006127364A1 US2005268353A1 US2005172357A9 US2003041349A1 WO1994004660A1

Detail: The use of viruses as a means of protecting plants against infestation of this moth species.

#### With South African distribution

Species name:
Ogataea kodamae

Brief description of species:
A yeast-based expression system for the production of desired polypeptides.

No Image Available

No Image Available

Distribution: Uncertain No of documents: 3

US6645739B2 US2003092099A1 WO2003010288A2

Detail: A yeast used as a host cell for production of polypeptides and compositions relating to them.

#### With South African distribution

Species name: Kingdom: Plantae Ornithogalum multifolium

Brief description of species:

A plant usually less than 10 cm. high, found in shallow pockets of soil on rock outcrops in western Cape. New cultivar (developed in US).

Distribution: Endemic No of documents: 5

US2002100090P1 US2002100092P1 USPP13154P3 USPP13298P3 USPP13314P3 (US Plant Patents)

Detail: New horticultural cultivar of species developed in the USA.

Species name:

Kingdom: Animalia

Parabuthus tranvaalicus

Brief description of species:

Very venomous scorpion. Range is across dry areas of

southern Africa.

Distribution: Cosmopolitan No of documents: 2

WO2003028666A US2003113892A1

Detail: venom used to develop antivenom through the isolation of polypeptides.

#### With South African distribution

Species name:

Kingdom: Plantae

Pelargonium graveolens

Brief description of species:

The true Pelargonium graveolens is an uncommon species in the Pelargonium genus, which is native to South Africa, Zimbabwe and Mozambique, while the plants cultivated under this name differ from the wild specimens and are of hybrid origin.



Distribution: Cosmopolitan

No of documents: 1

WO2006090239A1

Detail: A treatment pad for soothing skin around the eye. The pad contains extracts of plants including A. betulina.

## Of South African origin

Species name:

Kingdom: Plantae

Pelargonium reniforme

Brief description of species:

Pelargonium reniforme is a medicinal plant known to Khoi/ San and Xhosa traditional healers for its properties in curing stomach ailments, bronchitis and dysentery.

Distribution: Uncertain No of documents: 2

US7611734B2; WO2009011498A1

Detail: US7611734B2: Use of extract from pelargonium to treat disease related behavioral changes and pathological conditions. WO2009011498A1: A composition for the treatment of acute or chronic infection in respiratory system.

Species name:

Kingdom: Plantae

Pelargonium sidoides

Brief description of species:

Common names include Umckaloabo and South African Geranium. Root extract of Pelargonium sidoides is used as cold and flu medicine.

Distribution: Endemic

No of documents: 2



Detail: US7611734B2: Extracts from Pelargonium species or plant parts thereof, particularly from P. sidoides and P. reniforme for the prophylaxis or treatment of diseaserelated behavioural changes, WO2009011498A1: Pelargonium sidoides syrup used as a therapeutic agent for acute or chronic infections.

#### With South African distribution

Species name:

Kingdom: Animalia

Pieterfaurea unilobata

Distribution: Endemic

Brief description of species:

A marine coral.

No of documents: 2

US2009075964A1 WO2009039103A2

Detail: A fragrant mood enhancing compound using pregenene extract from corals.

Of South African origin

Species name: Kingdom: Virales No Image Available PK1RS4 virus in buffalo

Brief description of species:

Virus strain associated with foot and mouth infection in

cattle.

Distribution: Cosmopolitan No of documents: 1

US2011014232A1

Detail: New variant of foot and mouth disease virus which can be used as vaccine antigens.

Species name:

Kingdom: Plantae

Plectranthus hadiensis

Brief description of species:

Medicinal herb from which extracts are taken for

treatment of bacterial infections.

Distribution: Cosmopolitan No of documents: 1

WO2008001278A

Details: Preparations for the treatment of bacterial and fungal infections, to pharmaceutical compositions comprising the biologically active compounds from Plectranthus.

#### With South African distribution

Species name:

Kingdom: Plantae

Plectranthus hilliardiae

Brief description of species:

New cultivar of plant. Horticulture. Also known as the

Candle Plant or Spur Flower.

Distribution: Cosmopolitan

No of documents: 6

US2005114972P1 USPP13858P2 USPP15542P2 USPP15543P2 USPP15563P2

USPP16002P3 (US Plant Patents)

Detail: A new cultivar of Plectranthus, one parent of which is P.hilliardiae. The records

are exclusively for US Plant Patents.

#### With South African distribution

Species name:

Kingdom: Plantae

Plectranthus myrianthus

Brief description of species:

Tall annual or weakly perennial herb, found on woody or

rocky hillsides.

Distribution: Cosmopolitan No of documents: 1

WO2008001278A2

Detail: Extract from plant as anti-microbial compound.

Species name:

Kingdom: Plantae

Priestleya tomentosa

Brief description of species:

A shrub which is endemic to South Africa.

Distribution: Endemic No of documents: 3

US6534527B2 US2002025300A1 US2004013752A1

Detail: Used in a herbal mix of an anti smoking compound.

## With South African distribution

Species name: Protea pulchra Kingdom: Plantae

Brief description of species:

Synonym for Protea burchellii, a medium-sized, winter-

flowering shrub.

Distribution: Endemic

No of documents: 4

EP0877756B1 US6909032B2 US2002108144A1 WO1997028185A1

Detail: Protea used in the development of an anti microbial protein.

Of South African origin

Species name:

Kingdom: Fungi

No Image Available

Brief description of species:

Rhodosporidium toruloides

Rhodosporidium toruloides is an oleaginous yeast. It is a red basidiomycetous isolated from wood pulp from conifers. Rhodosporidium toruloides has been linked to

bovine mastitis.

Distribution: Cosmopolitan

No of documents: 1

WO2005100569A2

A process for obtaining an optically active epoxide, the polypeptide being a polypeptide encoded by a gene of a yeast cell.

Species name: S. cerevisiae strain

Kingdom: Fungi

No Image Available

PPRI3338

Brief description of species:

Species of yeast used in wine making and bread baking.

Distribution: Cosmopolitan

No of documents: 1

WO2006051387A1

Detail: The invention discloses a method of producing a micro-organism that can biosynthesise carnitine from a non-carnitine synthesising micro-organism.

## With South African distribution

Species name:

Kingdom: Plantae

Scabiosa anthemifolia

Brief description of species:

Scabiosa is a genus in the teasel Family Dipsacaceae of flowering plants.

Distribution: Cosmopolitan

No of documents: 3

US2006137054P1 USPP19260P2 USPP12167P2 (US Plant Patents)

Detail: Patents for new cultivars.

#### With South African distribution

Species name:

Sceletium expansum

Kingdom: Plantae

Brief description of species:

Traditional knowledge. All patents refer to Hottentot (actually Khoi, Khoikhoi or Khoekhoe) indigenous use of S. expansum.

Distribution: Endemic

No of documents: 6

US2005192339A1 US2005239841A1 US2007149600A1 WO2005051380A1

WO2005051381A1 WO2006114402A1

Detail: Reference to hottentot (actually Khoi, Khoikhoi or Khoekhoe) use of plant. Patents refer to indigenous use but does not use the plant.

Species name:

Kingdom: Plantae

Sceletium tortuosum

Brief description of species:

Sceletium tortuosum is a succulent herb commonly found in South Africa, which is also known as Kanna, Channa, Kougoed. Pharmaceutical extracts - traditional knowledge.



Distribution: Uncertain No of documents: 20

US6288104B1 US2005192339A1 US2005239841A1 US2007149600A1 US2009105281A1 US2009197823A1 US2009239884A1 WO1997046234A1 WO2005051380A1 WO2005051381A1 WO2010106494A1 WO2010106495A1 WO2010111136A2

Detail: US6288104B1: Compounds containing mesembrine for use as seratonine inhibitors. US2009105281A1: Treatment for the immune system contains mesembrine. WO1997046234A1: Antidepressant including mesebrine.

## Of South African origin

Species name: Kingdom: Plantae

Sclerochiton ilicifolius

Brief description of species:

Plant growing in the Transvaal region of South Africa.

Distribution: Endemic No of documents: 22

WO2010105014A; WO2008085575A; WO2007140195A; WO2007133184A WO2007133183A; WO2007103989A; WO2006113897A; WO2005020721A; WO2005016022A; WO2005014839A; WO2010323411A1; US2010261234A1; US2010095390A1; US2009130285A1; US2005170041A1; US2005112260A1; EP2090173A1; EP1653810B1; US2008020434A1; US2008015361A1; EP2194042A2; WO2007103389A2

Detail: The plant is the source of monatin, a 'super-sweetener'.

Species name:

Kingdom: Plantae

Siphonochilus natalensis

Brief description of species:

Wild ginger is a forest floor plant with aromatic rhizomatous roots. Extracts from plant has medical uses

Distribution: Endemic No of documents: 3

WO2007113698A2 US2010168227A1 US2009082433A1

Detail: Plant extract used for an anti-allergy compound.

## Of South African origin

Species name:

Kingdom: Plantae

Smelophyllum capense

Brief description of species:

The genus Smelophyllum is in the family Sapindaceae and contains just one Species. Extracts used for skin treatments.

Distribution: Uncertain No of documents: 1

US5807555A

Detail: A method and composition for topically administering a Smelophyllum capense extract as a cosmetic, dermatologic, or pharmaceutical composition to promote collagen synthesis.

# Of South African origin

Species name: Sorangium cellulosum	Kingdom: Bacteria	No Image Available
Brief description of species: Sorangium cellulosum is a bacterium of the group myxol	soil-dwelling Gram-negative pacteria.	

Distribution: Cosmopolitan No of documents: 6

WO2001064650A WO2001054689A US7435754B2 US2007122891A1 US2002198256A1 WO2000071563A2

Detail: Used to extract epothilones for use in cancer treatments.

Species name: Kingdom: Plantae

Spiloxene schlechteri

Brief description of species:

Spiloxene is a genus of about 25 plant species belonging to the Hypoxidaceae family. Most Spiloxene species are native to South Africa.

Distribution: Endemic No of documents: 4

US5609874A US4652636A EP0587396A1 EP0092226A2

Detail: Extract from Spiloxene is used in anti cancer and anti viral treatments.

Of South African origin

Streptomyces platensis

Species name: Kingdom: Bacteria No Image Available

Brief description of species:

A bacterium that produces platensimycin as one of numerous secondary metabolites. This molecule is an inhibitor of many dangerous bacteria.

Distribution: Cosmopolitan No of documents: 1

US7745644B2

Detail: Fermentation of a nutrient medium with a eubacterium Streptomyces sp. yields a novel antibacterial (antibiotic) compound.

#### With South African distribution

Species name: Kingdom: Plantae Sutherlandia tomentosa

Brief description of species:

It has a strong reputation as a cure for cancer and now increasingly as an immune booster in the treatment of HIV/AIDS.

Distribution: Uncertain No of documents: 1

WO2008065473A1

Detail: An anti-diabetic compound using extracts from Sutherlandia.

Species name:

Kingdom: Plantae

Tapinanthus kraussianus

Brief description of species:

Tapinanthus is a genus of plant in family Loranthaceae. It contains some 33 species. Methods and cuttings for mass propagation of plant parasites.



Distribution: Uncertain

No of documents: 4

EP1418800B1 US6792715B2 US2003029078A1 WO2003005804A1

Detail: Method of plant propogation where parent plant has a parasite. Note that this plant is not the focus of the invention.

# Of South African origin

Species name: **Tarchonanthus** camphoratus

Kingdom: Plantae

Brief description of species:

Tarchonanthus camphoratus, is a shrub or small tree, widespread in Africa south of the Sahel



Distribution: Cosmopolitan

No of documents: 1

WO1994009631A1

Detail: Use of Tarchonanthus camphoratus parts and its derivatives in insect-repelling, anti-irritating, soothing, anti-oedema, decongesting formulations and compositions.

## Of South African origin

Species name: Tulbaghia violacea

Kingdom: Plantae

Brief description of species:

Tulbaghia violacea is a plant species indigenous to southern Africa and is used locally as a herbal remedy/ medicine to treat several ailments. Recently it was demonstrated to have androgenic and anti-cancer properties in vitro.



Distribution: Endemic

No of documents: 2

WO2007003287A; US2009275472A1

Detail: Extracts from plants used as anti microbial preparation as plant protection agents.

Species name: Kingdom: Plantae

Turnera capensis

Brief description of species:

A synonym for Piriqueta capensis. Herb growing in

southern Africa.

Distribution: Cosmopolitan No of documents: 2

WO2008071684A2 EP1932531A1

Detail: Extract from any species of turnera which can be used in a treatment for sexual dysfunction.

#### With South African distribution

Species name: Kingdom: Plantae Umtiza listeriana

Brief description of species:

Distribution: Endemic

Umtiza is a monotypic genus in the legume family containing the single species Umtiza listeriana. This tree is endemic to South Africa. In long lists of species that can be utilised for cosmetic or pharmaceutical formulation

No of documents: 2

US2009208432A1 WO2007128725A1

Detail: Bark from tree used in UV skin treatment. Listed in claim as one of a number of species that can be used in the invention.

#### With South African distribution

Species name: Kingdom: Plantae

Brief description of species:

Synonym of Vernonia oligocephala, a widely distributed plant in South Africa, it occurs in wooded rocky grassland.

Distribution: Cosmopolitan No of documents: 1

WO2000043025A1

Detail: A pharmaceutical preparation for treating viral infections including powder derived from Vernonia Oligocephalus plant species.

Species name:

Kingdom: Plantae

Wahlenbergia procumbens

Brief description of species: Also known as the wild violet.

No of documents: 1

Distribution: Cosmopolitan

US2008184425P1 (US Plant Patent)

Detail: A new horticultural cultivar of the species.

## Of South African origin

Species name: Withania species Kingdom: Plantae

Brief description of species:

Withania is a genus of flowering plants in the nightshade family. Two of the species, W. somnifera (Ashwagandha) and W. coagulans (Ashutosh booti), are economically significant, and are cultivated in several regions for their medicinal uses.

No of documents: 1

Distribution: Cosmopolitan

EP1208845A1

Detail: A treatment for sexual dysfunction using extracts of Withania.

#### With South African distribution

Species name:

Kingdom: Plantae

Zantedeschia sprengeri

Brief description of species:

Synonym for Zantedeschia pentlandii. New cultivars in horticulture. Also mentioned as a possible moisturizer in

cosmetic composition.

Distribution: Cosmopolitan

No of documents: 23

US2002184689P1 US2004055064P1 US2004216199P1 US2004216200P1 US2007039082P1 US2007186323P1 US2010223703P1 US2010223704P1 USPP11001A USPP13393P2 USPP14063P3 USPP14844P3 USPP14849P2 USPP14850P2 USPP15282P2 USPP15642P3 USPP15664P3 USPP18110P3 USPP18281P3 USPP18833P2 USPP21244P2 USPP21245P2 US2006070148A1

Detail: New horticultural cultivars of the species developed in various locations across the world. Note that these are US Plant Patents and not utility patents.



Species name: Zygozyma oligophaga	Kingdom: Fungi		No Image Available
Brief description of species: Zygozyma is a genus Dipodascaceae.	of fungi in		
Distribution: Uncertain No of docume			nts: 5
EP0505567A1 EP0769557A1 US5336619A US5508461A US5512465A			
Detail: A species which is used in the production of butanediol.			

# **Other Species**

The following species appear in patents and are relevant to South Africa. However, they are excluded from the analysis because they are not the focus of the claimed invention or for similar reasons. They are therefore included in this section for the sake of completeness and in case the information is of interest to others in future research.

Species name:

Kingdom: Plantae

Aloe pretoriensis

Brief description of species:

Smaller single stem blue-green aloe from S Africa, Zimbawe and Swaziland. Like other aloes, this species is

used medicinally

Distribution: Cosmopolitan No of documents: 2

EP0519758A1 US5477000A

Detail: Literature reference regarding callus formation.

Species name: Anas smithi

Kingdom: Animalia

Brief description of species:

Cape Shoveller Duck. Included in long list of bird species illustrating potential for patented method.

inustrating potential for patented method.

Distribution: Cosmopolitan No of documents: 1

US2005079491A1

Detail: Long list of avian species, invention is to identify presence of biological

substances from bird s in a sample.

Species name: Aspalathus capitata Kingdom: Plantae

Brief description of species:

Legume of the same genus as that which produces

Rooibos tea.

Distribution: Endemic No of documents: 1

US5693361A

Detail: Decorative foliage preservative that can be used on A. capitata.



Species name: Bambusa balcooa Kingdom: Plantae

Brief description of species:

Bambusa balcooa or the Balcooa Bamboo is a clumping bamboo of Indian origin. It is popular with the Vietnamese as food, and can be used as a short life timber for temporary constructions.

Distribution: Cosmopolitan

No of documents: 1

Detail: WO2010113020A1: Bambusa used to provide xylan to evaluate enzymatic

substrate in isolation odf polypeptide for pulping industry.

Species name: Barbus andrewi Kingdom: Animalia

Brief description of species:

The Cape whitefish or Berg-breede River whitefish is a ray-finned fish species in the family Cyprinidae.

Distribution: Endemic No of documents: 2

US2009238930A1 WO2009102781A1

Detail: The invention relates to powdered, protein-rich comestibles and methods for production using fish. Whitefish is listed as one possible source of proteins to be use in the invention.

Species name:

Kingdom: Animalae

Bunolagus monticularis

Brief description of species:

A genetically modified rabbit comprising at least one edited chromosomal sequence. Long list of rabbit spp features this animal.



Distribution: Uncertain No of documents: 1

US2011023140A1

Detail: Genetically modified rabbits for research into mammalian diseases. The claims lists all rabbit species.

Kingdom: Bacteria

No Image Available

Brief description of species:

Burkholderia tuberum

Distribution: Uncertain

Burkholderia tuberum is a species of proteobacteria that is capable of symbiotic nitrogen fixation with the legume Aspalathus carnosa.

No of documents: 3

WO2010139957A1 WO2010139958A1 WO2010139959A2

Detail. Alginate polymers for overcoming drug resistance in bacteria. Not the focus of the invention.

Species name: Caesia contorta

Kingdom: Plantae

Brief description of species:

Hybridised maize plant based on research into nucleic acid molecules associated with oil in plants.

Distribution: Uncertain

No of documents: 1

US2007039069A1

Detail: Not the focus of the invention.

Species name:

Kingdom: Animalia

Calanus brevicornis

Brief description of species: Calanus is a genus of marine copepod in the family Calanidae. Anti microbial agent from Calanus spp.

Distribution: Uncertain

No of documents: 1

WO2010049454A2

Detail: Appears in a long list of species, not the species used in the invention.

. Candida albicans strain ATCC PTA-5316 (MA7327) Kingdom: Fungi

No Image Available

Brief description of species:

Distribution: Cosmopolitan

Candida albicans is a diploid fungus that grows both as yeast and filamentous cells and a causal agent of opportunistic oral and genital infections in humans.

No of documents: 2

WO2005009391A2 EP1651628B1

Detail: C. albicans used as a control during production of an antibiotic compound.

Species name:

Ceratotherium simum

simum

Kingdom: Animalia

Brief description of species:

White rhino - Listed in gene amplification research.

Nucleotide sequences encoding CD44 proteins.

Distribution: Cosmopolitan No of documents: 2

WO2005034984A1 US7141364B1

Detail: Not the focus of the invention.

Species name:

Craterostigma wilmsii

Kingdom: Plantae

Brief description of species:

A resurrection species that is thought to rely primarily on the protection of cellular components during drying to survive desiccation.

survive desiceation.

Distribution: Uncertain No of documents: 2

WO2005030965A2 US2008010698A1

Detail: Not the focus of the invention.



Kingdom: Animalia

Culex tigripes

Brief description of species:

Culex is a genus of mosquitoes, and is important in that several species serve as vectors of important diseases. Patent for insecticide compound.



Distribution: Cosmopolitan

No of documents: 3

EP1845781B1 US2008269252A1 WO2006097588A1

Detail: An insecticide product which can target C. tigripes.

Species name:

Delosperma ecklonis

Kingdom: Plantae

Brief description of species:

Delosperma is a genus of around 100 species of succulent plants. Method for the cryopreservation and long term storage of one or several types of cells.



Distribution: Cosmopolitan

No of documents: 2

WO2010094747A1 EP2221362A1

Detail: Methods Patent for cryopreservation of cells method.

Species name:

Gladiolus grandiflorus

Kingdom: Plantae

Brief description of species:

Genetic control of ethylene biosynthesis in plants. G grandiflorus listed as plant that could be applicable



Distribution: Uncertain

No of documents: 5

Detail: Not focus of inventions. Appears in a long list of plants.

Helicoverpa armigera

Kingdom: Animalia

Brief description of species:

The cotton bollworm, corn earworm or Old World bollworm, Helicoverpa armigera, is a moth, the larvae of which feed on a wide range of plants, including many important cultivated crops.



Distribution: Cosmopolitan

No of documents: 1

US6180098B2

Species name:

Lampranthus sociorum

Kingdom: Plantae

Brief description of species:

Lampranthus sociorum is a species of plants in the family Aizoaceae. Patent for novel Aromatic Acyltransferase Genes.



Distribution: Uncertain

No of documents: 1

US2009288225A1

Detail: Literature reference.

Species name: Leonotis dysophylla Kingdom: Plantae

Brief description of species:

Leonotis is a genus of flowering plants in the family Lamiaceae. Breath freshener, solvent for which comes from plant extract.



Distribution: Endemic

No of documents: 1

EP0321180A1

Detail: One of a large range of solvent producing species given as examples.

Species name:
Lolium rigidum

Brief description of species:
Lolium is also known as ryegrass, an important feed crop.

Distribution: Cosmopolitan

No of documents: 1

WO2007031735A2

Detail: Used as a control for controlling herbicide resistant plants.

Species name: Mycosphaerella africana	Kingdom: Fungi		No Image Available		
Brief description of species: This genus contains over 10, involves the detection of myc polymerase chain reaction.					
Distribution: Uncertain		No of documents: 1			
US2002115084A1					

Species name: Myxozyma kluyveri	Kingdom: Fungi		No Image Available			
Brief description of species: A soil-borne species of the ge in a long list of yeast species conventional yeast arabinose						
Distribution: Endemic		No of documents: 1				
WO2007143247A2						
Detail: Species comes up in long lists.						

Species name: Myxozyma lipomycoides	Kingdom: Fungi		No Image Available		
Brief description of species: A method for producing geral from cells belonging to a num					
Distribution: Endemic		No of documents: 2			
EP1219704A2 EP1219714A2					
Detail: Species appears in long lists.					

Oldenburgia grandis

Kingdom: Plantae

Brief description of species:

Oldenburgia grandis is a shrub or small, gnarled tree in the family Asteraceae. It occurs in the mountains around Grahamstown in South Africa.



Distribution: Endemic

No of documents: 1

US2002132021A1

Detail: The species appears in a long list of species from which extracts elicited with acetic acid.

Species name: Pachytichospora transvaalensis

Kingdom: Fungi

No Image Available

Brief description of species:

Production of a product in a microbial fuel cell. Also used to test anti-cholestrol component for comestibles.

Distribution: Uncertain

No of documents: 6

WO2009070022A1 EP1206939B1 US7413740B2 US7754204B2 US2008260709A1

US2005244426A1

Detail: Not the focus of invention in any case. Just listed as a yeast with certain properties.

Species name: Pelea capreolus Kingdom: Animalia

Brief description of species:

The Grey Rhebok inhabit grassy, montane habitats, and carry a woolly grey coat to insulate them from the cold across southern Africa. Used in patent to test gene amplifier.



Distribution: Cosmoplitan

No of documents: 4

US7141364B1 US2008003595A1 US2010075310A1 WO2006119466A2

Detail: Appears in references or as a test species for a primer.

Species name: Kingdom: Fungi No Image Available Pichia euphorbiiphila

Brief description of species:

Pharmaceutical preparations. Genus listed in claims as having suitable properties.

Distribution: Uncertain No of documents: 3

## EP1486493A1 US7659409B2 US2005107621A1

Detail: Appears in very long lists of fungi with specific properties, but not directly associated with invention.

Species name: Kingdom: Plantae

Pinus patula

Brief description of species:

Pine tree from central America, grown extensively across the world as a commercial crop.

Distribution: Cosmopolitan No of documents: 1

WO2010113020A

Detail: Feedstock for deveolpment ofpolypeptide with a-glucuronidase activity that can degrade glucuronoxylan molecules. Pinus is not the focus of the invention.

Species name: Kingdom: Plantae

Brief description of species:

Production of allite and its use as a sweetener. extracts from Transvaal sugarbush.

Distribution: Endemic No of documents: 1

WO1997042339A1

Protea rubropilosa

Detail: Literature reference.

Putterlickia retrospinosa

Kingdom: Plantae

Brief description of species:

Unresolved species name. Listed in patent as plant with

antibiotic properties.

Distribution: Uncertain No of documents: 11

US2006269485A1 US2007292355A1 US2007292461A1 US2008063607A1 US2008206161A1 US2008292560A1 US2008299220A1 US2010159035A1

WO2007099396A2 WO2007113830A2 WO2008075207A2

Detail: List of plants with antibiotic properties. Not the focus of the invention.

Species name: Rhodocoma arida Kingdom: Plantae

Brief description of species:

The Restionaceae is a family of rush like plants largely

from the southern hemisphere.

Distribution: Uncertain No of documents: 3

US7576213B2 US2007105721A1 WO2005061515A1

Detail: Vinylogous 4-Hpyrones and their use in promoting plant growth. Plant listed in claim but not focus of the invention.

Species name:

Ruschia indurata

Kingdom: Plantae

Brief description of species:

Plant forming caespitose rounded clumps with grey-green leaves. A method of producing a plant secondary

metabolite. Plus several patents with long lists of species.

Distribution: Endemic No of documents: 1

WO2005012507A1

Detail: A method of producing a plant secondary metabolite. The species appeares in several other patents in long lists of species.



Species name: Secale africanum

Kingdom: Plantae

Brief description of species:

A single species of wild rye found only in South Africa and endemic to that country. Long lists of species included in patents for agricultural plant genetics.



Distribution: Endemic

No of documents: 11

EP1953235A2 EP2080769A2 EP2096177A2 EP2199304A1 US5332408A US2008318790A1 WO1990006375A1 WO2005014828A2 WO2005014828A2 WO2007087815A2 WO2010037402A1

Detail: Crop plant used to test methods for analysing DNA identification methodology.

Species name: Senecio citriformis

Kingdom: Plantae

Brief description of species:

Native to southern Africa, this slow-growing shrub-like plant has blue-green tear-shaped leaves and clustering tiny yellowish flowers. Long lists of species included as suitable targets in patents for agricultural plant genetics.



Distribution: Endemic

No of documents: 2

WO2006133970A2 US2009307801A1

Detail: Crop plants listed as targets for invention.

Species name: Spodoptera triturata

Kingdom: Animalia

Brief description of species:

The Lawn worm (Spodoptera triturata) is an Afrotropical moth of the Noctuidae family.

Distribution: Cosmopolitan

No of documents: 4

WO2003074716A2 WO2003074715A2 US2006078973A1 US7700833B2

Detail: Listed as an insect from which nucleic acid can be extracted.

Species name:
Sporobolomyces kluyverinielii

Brief description of species:
A basidiomycetous yeast from Southern Africa.

Distribution: Endemic

No of documents: 3

WO2006034811A2 WO2005026269A1 US2004175407A1

In long lists of patents for biological cell coatings and reporter genes.

Species name: Taxeobacter ocellatus

Brief description of species: Proteins taken from species. Long list of species showing ID methods in patents.

Distribution: Uncertain

No Image Available

Species name: Tilletia transvaalensis

Brief description of species: Tilletia is a genus of smut fungi in the Tilletiaceae family. Species in this genus are plant pathogens that affect various grasses. Long list of yeast species in relation to fungicides and plant health formulations.

No Image Available

No Image Available

No Image Available

US2010035753A1 US2010197741A1 US2010209410A1 WO2005122770A2 WO2008095890A2 WO2009037242A2 WO2009040397A1 WO2009060012A2

Detail: Plant pathogen listed as species which is a potential target species but not focus of invention.

Species name: Tobrilus diversipapillatus

Brief description of species: Nematode worm. Patent for study of nematode life strategy in soil.

Distribution: Cosmopolitan

Detail: Methodology for examination of soil health by studying nematodes.

Species name: Trichoderma reesei

Brief description of species: Trichoderma reesei is a mesophilic and filamentous fungus. It is an anamorph of the fungus Hypocrea jecorina. T. reesei has the capacity to secrete large amounts of cellulolytic enzymes.

Distribution: Cosmopolitan

No of documents: 1

US2010015660A1

Detail: Literature references.

Species name: Trichosporon terrestre

Brief description of species: Trichosporon is a genus of anamorphic fungi in the family Trichosporonaceae. All species of Trichosporon are yeasts with no known teleomorphs. Patent for the production of heterocyclic compounds from bacteria

Distribution: Unknown

No of documents: 1

WO1997031912A1

Detail: Species listed in experimental data for use of Sporangium sp as the focus of the invention.

Species name: *Urginea rubella* 

Kingdom: Plantae

Brief description of species:

Synonym for Drimia calcarata. Used in medicinal compounds.

Distribution: Cosmopolitan

No of documents: 4

US2004082521A1 US2005026849A1 US2006205679A1 WO2004087121A2

Detail: Listed as a plant containing glycosides. Not the focus of the invention.

Species name: Vanderwaltozyma polyspora Kingdom: Fungi

No Image Available

Brief description of species:

A yeast used in a method for producing a transgenic plant cell.

Distribution: Unknown

No of documents: 14

US2010037350A1 US2010081179A1 US2010081183A1 US2010037350A1 US2010129886A1 US2010203211A1 US2010317072A1 US2010317735A1 US2010317882A1 US2010333234A1 WO2008043849A2 WO2008135931A2 WO2009009836A1 WO2010059539A2

Detail: Appears in long lists to test methodologies for various processes. Not the focus of the invention.

Species name:

Helichrysum crispum

Kingdom: Plantae

Brief description of species:

Helichrysumi (n the sunflower family) occurs in Africa (with 244 species in South Africa), Madagascar,

Australasia and Eurasia.

Distribution: Uncertain

No of documents: 8

WO2008033112A1

Detail: Cited in long lists as a species which may be a source of phytochemicals.

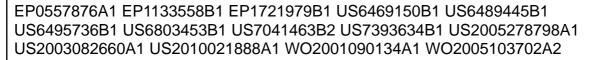
Cercopithecus pygerythrus

Kingdom: Animalia

Brief description of species:

Vervet monkey - widely ranging monkey. Pharmaceutical research.

Distribution: Cosmopolitan No of documents: 14





**Appendix 1.**Distribution map of GBIF records in South Africa by taxonomic kingdom.

